

# Return of Organization Exempt From Income Tax

OMB No. 1545-0047

# Form 990

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

# 2022

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Open to Public Inspection

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**A** For the **2022** calendar year, or tax year beginning and ending

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <b>OCEANA, INC.</b>		<b>D</b> Employer identification number <b>51-0401308</b>
	Doing business as		<b>E</b> Telephone number <b>(202) 833-3900</b>
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	<b>G</b> Gross receipts \$ <b>44,502,103.</b>
	<b>1025 CONNECTICUT AVENUE, NW</b>	<b>200</b>	
City or town, state or province, country, and ZIP or foreign postal code <b>WASHINGTON, DC 20036</b>		<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>F</b> Name and address of principal officer: <b>CHRISTOPHER M. SHARKEY</b> <b>SAME AS C ABOVE</b>		<b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. See instructions	
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c)( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>H(c)</b> Group exemption number	
<b>J</b> Website: <b>WWW.OCEANA.ORG</b>		<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other	
<b>L</b> Year of formation: <b>2001</b>		<b>M</b> State of legal domicile: <b>DC</b>	

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: <b>TO ADVOCATE FOR POLICY CHANGES BY GOVERNMENTS AND CORPORATIONS IN ORDER TO PRESERVE OCEAN LIFE AND</b>
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a) <b>3</b> <b>25</b>
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) <b>4</b> <b>24</b>
	<b>5</b> Total number of individuals employed in calendar year 2022 (Part V, line 2a) <b>5</b> <b>285</b>
	<b>6</b> Total number of volunteers (estimate if necessary) <b>6</b> <b>18406</b>
	<b>7 a</b> Total unrelated business revenue from Part VIII, column (C), line 12 <b>7a</b> <b>0.</b>
<b>b</b> Net unrelated business taxable income from Form 990-T, Part I, line 11 <b>7b</b> <b>0.</b>	
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h) <b>35,658,526.</b> <b>Prior Year</b> <b>44,130,233.</b> <b>Current Year</b>
	<b>9</b> Program service revenue (Part VIII, line 2g) <b>0.</b> <b>0.</b>
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) <b>12,150.</b> <b>8,208.</b>
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) <b>-10,262.</b> <b>-170,654.</b>
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) <b>35,660,414.</b> <b>43,967,787.</b>
	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) <b>1,860,576.</b> <b>1,936,194.</b>
<b>Expenses</b>	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) <b>0.</b> <b>0.</b>
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) <b>22,784,791.</b> <b>25,245,531.</b>
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) <b>257,660.</b> <b>207,501.</b>
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) <b>3,177,265.</b>
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) <b>10,421,530.</b> <b>14,110,884.</b>
	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) <b>35,324,557.</b> <b>41,500,110.</b>
<b>Net Assets or Fund Balances</b>	<b>19</b> Revenue less expenses. Subtract line 18 from line 12 <b>335,857.</b> <b>2,467,677.</b>
	<b>20</b> Total assets (Part X, line 16) <b>64,267,240.</b> <b>Beginning of Current Year</b> <b>74,617,155.</b> <b>End of Year</b>
	<b>21</b> Total liabilities (Part X, line 26) <b>6,434,578.</b> <b>14,329,504.</b>
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20 <b>57,832,662.</b> <b>60,287,651.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer	Date			
	<b>CHRISTOPHER M. SHARKEY, CHIEF FINANCIAL OFFICER</b>	<b>31 Aug 2023</b>			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	<b>AARON M. FOX</b>	<b>AARON M. FOX</b>	<b>08/31/23</b>		<b>P01365820</b>
Firm's name <b>MARCUM, LLP</b>			Firm's EIN <b>11-1986323</b>		
Firm's address <b>1899 L STREET, NW, SUITE 850</b>			Phone no. <b>(202) 227-4000</b>		
<b>WASHINGTON, DC 20036</b>					

May the IRS discuss this return with the preparer shown above? See instructions  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission:

SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 14,784,241. including grants of \$ 936,504. ) (Revenue \$ )

INTERNATIONAL

BELIZE

BAN GILLNETS

OUR HARD-WON BAN ON GILLNETS WAS ATTACKED IMMEDIATELY AFTER THE NEW GOVERNMENT'S ELECTION. A GROUP OF FISHERS FILED A LAWSUIT IN BELIZE'S SUPREME COURT TO OVERTURN THE BAN. IN THE DAYS IMMEDIATELY FOLLOWING THE ELECTION, WE DELIVERED TO THE NEW MINISTRY OF FISHERIES (NOW RENAMED THE MINISTRY OF BLUE ECONOMY), A CHRONOLOGY AND ASSOCIATED MATERIAL ON THE MULTI-YEAR AND INCLUSIVE PROCESS THAT HAD CULMINATED IN THE BAN ON GILLNETS. OUR TACTICS PROVED HELPFUL AS THE FISHERIES

4b (Code: ) (Expenses \$ 11,168,994. including grants of \$ 717,900. ) (Revenue \$ )

UNITED STATES

IN THE UNITED STATES, OCEANA IS WORKING ON SCIENCE-BASED POLICY CAMPAIGNS THAT SEEK TO ADVANCE RESPONSIBLE FISHING, STOP NEW OFFSHORE OIL AND GAS DEVELOPMENT, REDUCE ILLEGAL FISHING, PROTECT HABITAT, PROTECT THE NORTH ATLANTIC RIGHT WHALE, REDUCE SINGLE-USE PLASTICS AND DEFEND THE NATION'S BEDROCK CONSERVATION LAWS.

RESPONSIBLE FISHING

NEW AT-SEA MONITORING REQUIREMENTS WILL STRENGTHEN ACCOUNTABILITY AND ABUNDANCE OF THE GROUND FISH FISHERY IN THE U.S. NORTHEAST. IN 2022, THE NATIONAL MARINE FISHERIES SERVICE APPROVED A FINAL RULE THAT REQUIRES

4c (Code: ) (Expenses \$ 2,517,250. including grants of \$ ) (Revenue \$ )

MARKETING AND COMMUNICATIONS

KEY ACHIEVEMENTS OF OCEANA'S MARKETING AND COMMUNICATION DEPARTMENT IN 2022 ARE HIGHLIGHTED BELOW:

- OCEANA GREW OUR GRASSROOTS BASE FROM 5.6 TO 5.9 MILLION ORGANIZATIONAL SUPPORTERS IN 2022. OCEANA HAS MORE THAN 8.6 MILLION SUPPORTERS WORLDWIDE.

4d Other program services (Describe on Schedule O.)

(Expenses \$ 3,289,172. including grants of \$ 281,790. ) (Revenue \$ )

4e Total program service expenses 31,759,657.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? See instructions	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	X	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I.</i> See instructions	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
<b>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....		X
<b>26</b> Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i> .....		X
<b>27</b> Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV, instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>b</b> A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i> .....	X	
<b>c</b> A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....	X	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....	X	
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....	X	
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....	X	
<b>36 Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		X
<b>38</b> Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? .....	X	

**Note:** All Form 990 filers are required to complete Schedule O

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V

	Yes	No
<b>1a</b> Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable .....		
<b>b</b> Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable .....		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? .....	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with columns for question number, question text, and Yes/No columns. Includes questions 2a through 17 regarding employee counts, tax returns, gross income, foreign accounts, prohibited transactions, and charitable contributions.

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year (25); 1b Enter the number of voting members included on line 1a, above, who are independent (24); 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? (X); 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person? (X); 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? (X); 5 Did the organization become aware during the year of a significant diversion of the organization's assets? (X); 6 Did the organization have members or stockholders? (X); 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? (X); 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? (X); 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body? (X); b Each committee with authority to act on behalf of the governing body? (X); 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? (X); 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? (X); 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? (X); 11b Describe on Schedule O the process, if any, used by the organization to review this Form 990.; 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 (X); 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? (X); 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe on Schedule O how this was done (X); 13 Did the organization have a written whistleblower policy? (X); 14 Did the organization have a written document retention and destruction policy? (X); 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official (X); b Other officers or key employees of the organization (X); If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.; 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? (X); 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? (X).

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA
18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [ ] Another's website [X] Upon request [X] Other (explain on Schedule O)
19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records
JAMES F. SIMON - (202) 833-3900
1025 CONNECTICUT AVENUE, NW, 200, WASHINGTON, DC 20036

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
  - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
  - List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ANDREW F. SHARPLESS CHIEF EXECUTIVE OFFICER	40.00 1.00			X				499,936.	0.	46,142.
(2) JAMES F. SIMON PRESIDENT & GENERAL COUNSEL	40.00 1.00			X				394,969.	0.	63,026.
(3) NANCY GOLDEN VP, GLOBAL DEVELOPMENT	40.00				X			266,848.	0.	67,515.
(4) CHRISTOPHER M. SHARKEY CHIEF FINANCIAL OFFICER	40.00 1.00			X				285,971.	0.	44,295.
(5) JACQUELINE SAVITZ CHIEF POLICY OFFICER, NORTH AMERICA	40.00				X			268,267.	0.	39,673.
(6) MATTHEW LITTLEJOHN SR. VP, STRATEGIC INITIATIVES	40.00					X		242,931.	0.	57,205.
(7) KATHY WHELPLEY CHIEF OF STAFF	40.00					X		254,141.	0.	37,485.
(8) SONYA BETHEA SR. DIR., GLOBAL HUMAN RESOURCES	40.00					X		218,325.	0.	36,118.
(9) ERIC BILSKY, SR. ATTORNEY & ASSISTANT GENERAL COUNSEL	40.00					X		209,833.	0.	24,939.
(10) PASCALE MOEHRLE EXECUTIVE DIRECTOR, EUROPE	40.00					X		210,549.	0.	0.
(11) SAM WATERSTON CHAIR	7.00 1.00	X						0.	0.	0.
(12) MARA EUGENIA GIRON VICE-CHAIR	3.00 1.00	X						0.	0.	0.
(13) KEITH ADDIS PRESIDENT	6.00 1.00	X						0.	0.	0.
(14) JAMES SANDLER SECRETARY	3.00 1.00	X						0.	0.	0.
(15) DIANA THOMSON TREASURER	3.00 1.00	X						0.	0.	0.
(16) GARY ALAZRAKI DIRECTOR	2.00	X						0.	0.	0.
(17) HERBERT M. BEDOLFE, III DIRECTOR	3.00	X						0.	0.	0.

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) TED DANSON DIRECTOR	5.00	X						0.	0.	0.
(19) NICHOLAS DAVIS DIRECTOR	2.00	X						0.	0.	0.
(20) MAYA GABEIRA DIRECTOR	3.00	X						0.	0.	0.
(21) CESAR GAVIRIA DIRECTOR	2.00	X						0.	0.	0.
(22) LOIC GOUZER DIRECTOR	3.00	X						0.	0.	0.
(23) JENA KING DIRECTOR	2.00	X						0.	0.	0.
(24) BEN KOERNER DIRECTOR	2.00	X						0.	0.	0.
(25) SARA LOWELL DIRECTOR	2.00	X						0.	0.	0.
(26) STEPHEN P. MCALLISTER DIRECTOR - UNTIL 04/06/2022	2.00	X						0.	0.	0.
<b>1b Subtotal</b>								2,851,770.	0.	416,398.
<b>c Total from continuation sheets to Part VII, Section A</b>								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>								2,851,770.	0.	416,398.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 52

	Yes	No
3 Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	3	X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4	X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person	5	X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
OGLETREE, DEAKINS P.C. P.O. BOX 89, COLUMBIA, SC 29202	GLOBAL LEGAL COUNSEL FOR OCEANA, INC.	270,503.
M&R STRATEGIC SERVICES, INC., 1101 CONNECTICUT AVE NW, 7TH FLOOR, WASHINGTON, LOGI VIAGENS E TURISMO LTDA, RUA SAID AIACH 302, PARAISO, SAO PAULO, BRAZIL	DIGITAL ADVERT. & FUNDRAISING CONSULTI TRAVEL AGENCY FOR BRASIL OFFICE	258,052.
O'CONNOR CONSULTING SERVICES, LLC, 4770 HOWARD PLACE, CHESAPEAKE BEACH, MD 20732	FINANCIAL CONSULTING	189,198.
GREEN KEY SOLUTIONS, LLC, 136 MADISON AVENUE, 7TH FLOOR, NEW YORK, NY 10016	CONSULTING SERVICES FOR STAFFING	179,098.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 9

SEE PART VII, SECTION A CONTINUATION SHEETS



Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

Table with 6 main columns: (A) Name and title, (B) Average hours per week, (C) Position (Individual trustee or director, Institutional trustee, Officer, Key employee, Highest compensated employee, Former), (D) Reportable compensation from the organization, (E) Reportable compensation from related organizations, (F) Estimated amount of other compensation. Rows include names like DR. KRISTIAN PARKER, DR. DANIEL PAULY, etc.

Total to Part VII, Section A, line 1c

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A)	(B)	(C)	(D)	
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514	
Contributions, Gifts, Grants and Other Similar Amounts	<b>1 a</b>	Federated campaigns .....	<b>1a</b> 160,145.				
	<b>b</b>	Membership dues .....	<b>1b</b>				
	<b>c</b>	Fundraising events .....	<b>1c</b> 2,733,357.				
	<b>d</b>	Related organizations .....	<b>1d</b>				
	<b>e</b>	Government grants (contributions) .....	<b>1e</b> 2,552,003.				
	<b>f</b>	All other contributions, gifts, grants, and similar amounts not included above ...	<b>1f</b> 38684728.				
	<b>g</b>	Noncash contributions included in lines 1a-1f	<b>1g</b> \$ 354,947.				
	<b>h</b>	<b>Total.</b> Add lines 1a-1f .....		44130233.			
Program Service Revenue	<b>2 a</b>	_____	<b>Business Code</b>				
	<b>b</b>	_____					
	<b>c</b>	_____					
	<b>d</b>	_____					
	<b>e</b>	_____					
	<b>f</b>	All other program service revenue .....					
	<b>g</b>	<b>Total.</b> Add lines 2a-2f .....					
Other Revenue	<b>3</b>	Investment income (including dividends, interest, and other similar amounts) .....		11,963.		11,963.	
	<b>4</b>	Income from investment of tax-exempt bond proceeds .....					
	<b>5</b>	Royalties .....					
	<b>6 a</b>	Gross rents .....	(i) Real				
			(ii) Personal				
	<b>b</b>	Less: rental expenses ...	<b>6b</b>				
	<b>c</b>	Rental income or (loss)	<b>6c</b>				
	<b>d</b>	Net rental income or (loss) .....					
	<b>7 a</b>	Gross amount from sales of assets other than inventory .....	(i) Securities				
			(ii) Other				
	<b>7a</b>		276,808.				
	<b>b</b>	Less: cost or other basis and sales expenses .....	<b>7b</b>	280,563.			
<b>c</b>	Gain or (loss) .....	<b>7c</b>	-3,755.				
<b>d</b>	Net gain or (loss) .....		-3,755.		-3,755.		
<b>8 a</b>	Gross income from fundraising events (not including \$ 2,733,357. of contributions reported on line 1c). See Part IV, line 18 .....						
		<b>8a</b>	273,485.				
<b>b</b>	Less: direct expenses .....	<b>8b</b>	253,753.				
<b>c</b>	Net income or (loss) from fundraising events .....		19,732.		19,732.		
<b>9 a</b>	Gross income from gaming activities. See Part IV, line 19 .....						
		<b>9a</b>					
<b>b</b>	Less: direct expenses .....	<b>9b</b>					
<b>c</b>	Net income or (loss) from gaming activities .....						
<b>10 a</b>	Gross sales of inventory, less returns and allowances .....						
		<b>10a</b>					
<b>b</b>	Less: cost of goods sold .....	<b>10b</b>					
<b>c</b>	Net income or (loss) from sales of inventory .....						
Miscellaneous Revenue	<b>11 a</b>	<b>MISCELLANEOUS</b>	<b>Business Code</b> 900099	3,799.		3,799.	
	<b>b</b>	<b>FOREIGN CURRENCY LOSS</b>	900099	-194,185.		-194,185.	
	<b>c</b>	_____					
	<b>d</b>	All other revenue .....					
	<b>e</b>	<b>Total.</b> Add lines 11a-11d .....		-190,386.			
<b>12</b>	<b>Total revenue.</b> See instructions .....		43967787.	0.	0.	-162,446.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	789,669.	789,669.		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	1,146,525.	1,146,525.		
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees	1,976,642.	1,064,765.	579,077.	332,800.
<b>6</b> Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
<b>7</b> Other salaries and wages	18,428,482.	14,992,326.	2,464,343.	971,813.
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,266,386.	971,835.	224,722.	69,829.
<b>9</b> Other employee benefits	1,796,889.	1,310,528.	342,443.	143,918.
<b>10</b> Payroll taxes	1,777,132.	1,471,761.	216,095.	89,276.
<b>11</b> Fees for services (nonemployees):				
<b>a</b> Management				
<b>b</b> Legal	375,836.	211,395.	163,105.	1,336.
<b>c</b> Accounting	490,034.	275,627.	212,665.	1,742.
<b>d</b> Lobbying	108,159.	108,159.		
<b>e</b> Professional fundraising services. See Part IV, line 17	207,501.			207,501.
<b>f</b> Investment management fees				
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A), amount, list line 11g expenses on Sch O.)	3,598,148.	2,778,147.	491,875.	328,126.
<b>12</b> Advertising and promotion	905,559.	699,045.	14,439.	192,075.
<b>13</b> Office expenses	1,838,662.	1,301,532.	263,440.	273,690.
<b>14</b> Information technology	555,886.	341,683.	176,708.	37,495.
<b>15</b> Royalties				
<b>16</b> Occupancy	1,971,225.	1,483,201.	373,728.	114,296.
<b>17</b> Travel	1,411,611.	1,141,239.	171,547.	98,825.
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings	686,976.	375,355.	184,927.	126,694.
<b>20</b> Interest	2,284.	1,423.	395.	466.
<b>21</b> Payments to affiliates				
<b>22</b> Depreciation, depletion, and amortization	680,665.	247,840.	432,825.	
<b>23</b> Insurance	520,008.	427,344.	54,976.	37,688.
<b>24</b> Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule O.)				
<b>a</b> FOREIGN EXCHANGE LOSS	496,898.	309,521.	85,927.	101,450.
<b>b</b> DUES AND SUBSCRIPTIONS	215,956.	132,740.	68,649.	14,567.
<b>c</b> PROF. DEVELOPMENT	117,843.	96,490.	17,553.	3,800.
<b>d</b> TAXES AND FEES	62,962.	39,219.	10,888.	12,855.
<b>e</b> All other expenses	72,172.	42,288.	12,861.	17,023.
<b>25</b> Total functional expenses. Add lines 1 through 24e	41,500,110.	31,759,657.	6,563,188.	3,177,265.
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	36,188,962.	<b>1</b>	36,209,754.
	<b>2</b> Savings and temporary cash investments .....	5,251,694.	<b>2</b>	5,523,867.
	<b>3</b> Pledges and grants receivable, net .....	14,278,054.	<b>3</b>	17,697,962.
	<b>4</b> Accounts receivable, net .....	2,877,285.	<b>4</b>	2,760,573.
	<b>5</b> Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons .....		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) .....		<b>6</b>	
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>	
	<b>8</b> Inventories for sale or use .....		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges .....	609,387.	<b>9</b>	688,355.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b> 7,320,857.		
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b> 5,292,268.	<b>10c</b>	2,028,589.
	<b>11</b> Investments - publicly traded securities .....	96,204.	<b>11</b>	96,204.
	<b>12</b> Investments - other securities. See Part IV, line 11 .....	1,582,548.	<b>12</b>	1,582,548.
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		<b>13</b>	
	<b>14</b> Intangible assets .....		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 .....	238,550.	<b>15</b>	8,029,303.
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 33) .....	64,267,240.	<b>16</b>	74,617,155.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	2,636,660.	<b>17</b>	3,116,793.
	<b>18</b> Grants payable .....		<b>18</b>	
	<b>19</b> Deferred revenue .....		<b>19</b>	
	<b>20</b> Tax-exempt bond liabilities .....		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>	
	<b>22</b> Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons .....		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	3,797,918.	<b>25</b>	11,212,711.
	<b>26 Total liabilities.</b> Add lines 17 through 25 .....	6,434,578.	<b>26</b>	14,329,504.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27, 28, 32, and 33.</b>			
	<b>27</b> Net assets without donor restrictions .....	26,698,344.	<b>27</b>	31,759,759.
	<b>28</b> Net assets with donor restrictions .....	31,134,318.	<b>28</b>	28,527,892.
	<b>Organizations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 29 through 33.</b>			
	<b>29</b> Capital stock or trust principal, or current funds .....		<b>29</b>	
	<b>30</b> Paid-in or capital surplus, or land, building, or equipment fund .....		<b>30</b>	
	<b>31</b> Retained earnings, endowment, accumulated income, or other funds .....		<b>31</b>	
	<b>32</b> Total net assets or fund balances .....	57,832,662.	<b>32</b>	60,287,651.
	<b>33</b> Total liabilities and net assets/fund balances .....	64,267,240.	<b>33</b>	74,617,155.

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	43,967,787.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	41,500,110.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	2,467,677.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	<b>4</b>	57,832,662.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-12,688.
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain on Schedule O)	<b>9</b>	0.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	<b>10</b>	60,287,651.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
<b>b</b>	Were the organization's financial statements audited by an independent accountant? _____ If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
<b>c</b>	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.	X	
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Uniform Guidance, 2 C.F.R. Part 200, Subpart F? _____		X
<b>b</b>	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits _____		

Form 990 (2022)

**SCHEDULE A**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
Attach to Form 990 or Form 990-EZ.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2022**

Open to Public Inspection

Name of the organization <p style="text-align: center;">OCEANA, INC.</p>	Employer identification number <p style="text-align: center;">51-0401308</p>
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**Part I Reason for Public Charity Status.** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2  A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations .....
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	51826346.	38559747.	36535664.	35658526.	44130233.	206710516
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....	51826346.	38559747.	36535664.	35658526.	44130233.	206710516
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						68671050.
<b>6 Public support.</b> Subtract line 5 from line 4.						138039466

**Section B. Total Support**

Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
<b>7</b> Amounts from line 4 .....	51826346.	38559747.	36535664.	35658526.	44130233.	206710516
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....	34,082.	60,659.	65,170.	14,709.	11,963.	186,583.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....		2,365.				2,365.
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....	5,154.		13,334.	180,737.	3,799.	203,024.
<b>11 Total support.</b> Add lines 7 through 10						207102488
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	651,536.
<b>13 First 5 years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2022 (line 6, column (f), divided by line 11, column (f)) .....	<b>14</b>	66.65 %
<b>15</b> Public support percentage from 2021 Schedule A, Part II, line 14 .....	<b>15</b>	66.18 %
<b>16a 33 1/3% support test - 2022.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		<input checked="" type="checkbox"/>
<b>b 33 1/3% support test - 2021.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
<b>17a 10% -facts-and-circumstances test - 2022.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
<b>b 10% -facts-and-circumstances test - 2021.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization .....		<input type="checkbox"/>
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....		<input type="checkbox"/>

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Table with 7 columns: (a) 2018, (b) 2019, (c) 2020, (d) 2021, (e) 2022, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Gross receipts from admissions; 3 Gross receipts from activities that are not an unrelated trade or business; 4 Tax revenues levied for the organization's benefit; 5 The value of services or facilities furnished by a governmental unit; 6 Total; 7a Amounts included on lines 1, 2, and 3 received from disqualified persons; 7b Amounts included on lines 2 and 3 received from other than disqualified persons; 8 Public support.

Section B. Total Support

Table with 7 columns: (a) 2018, (b) 2019, (c) 2020, (d) 2021, (e) 2022, (f) Total. Rows include: 9 Amounts from line 6; 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources; 10b Unrelated business taxable income; 11 Net income from unrelated business activities not included on line 10b; 12 Other income; 13 Total support.

14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

Table with 2 columns: Description, Percentage. Row 15: Public support percentage for 2022; Row 16: Public support percentage from 2021 Schedule A, Part III, line 15.

Section D. Computation of Investment Income Percentage

Table with 2 columns: Description, Percentage. Row 17: Investment income percentage for 2022; Row 18: Investment income percentage from 2021 Schedule A, Part III, line 17.

19a 33 1/3% support tests - 2022. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here.

b 33 1/3% support tests - 2021. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here.

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions



**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b> Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b> Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
<b>b</b> A family member of a person described on line 11a above?		
<b>c</b> A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.</i>		
<b>11a</b>		
<b>11b</b>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>		
<b>1</b>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b> By reason of the relationship described on line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
<b>1</b>		
<b>2</b>		
<b>3</b>		

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).		
<b>2</b> Activities Test. Answer lines 2a and 2b below.		
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No
<b>b</b> Did the activities described on line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b> Parent of Supported Organizations. Answer lines 3a and 3b below.		
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No" provide details in Part VI.</i>		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
<b>2a</b>		
<b>2b</b>		
<b>3a</b>		
<b>3b</b>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 ( *explain in Part VI*). **See instructions.**  
 All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors ( <i>explain in detail in Part VI</i> ):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035.	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

<b>Section C - Distributable Amount</b>			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1	
2	Enter 0.85 of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>		<b>Current Year</b>
<b>1</b>	Amounts paid to supported organizations to accomplish exempt purposes	<b>1</b>
<b>2</b>	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	<b>2</b>
<b>3</b>	Administrative expenses paid to accomplish exempt purposes of supported organizations	<b>3</b>
<b>4</b>	Amounts paid to acquire exempt-use assets	<b>4</b>
<b>5</b>	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	<b>5</b>
<b>6</b>	Other distributions (describe in Part VI). See instructions.	<b>6</b>
<b>7</b>	<b>Total annual distributions.</b> Add lines 1 through 6.	<b>7</b>
<b>8</b>	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	<b>8</b>
<b>9</b>	Distributable amount for 2022 from Section C, line 6	<b>9</b>
<b>10</b>	Line 8 amount divided by line 9 amount	<b>10</b>

<b>Section E - Distribution Allocations</b> (see instructions)	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2022</b>	<b>(iii) Distributable Amount for 2022</b>
<b>1</b> Distributable amount for 2022 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2022 (reasonable cause required - explain in Part VI). See instructions.			
<b>3</b> Excess distributions carryover, if any, to 2022			
<b>a</b> From 2017			
<b>b</b> From 2018			
<b>c</b> From 2019			
<b>d</b> From 2020			
<b>e</b> From 2021			
<b>f</b> Total of lines 3a through 3e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2022 distributable amount			
<b>i</b> Carryover from 2017 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
<b>4</b> Distributions for 2022 from Section D, line 7: \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2022 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from line 4.			
<b>5</b> Remaining underdistributions for years prior to 2022, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
<b>6</b> Remaining underdistributions for 2022. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
<b>7</b> <b>Excess distributions carryover to 2023.</b> Add lines 3j and 4c.			
<b>8</b> Breakdown of line 7:			
<b>a</b> Excess from 2018			
<b>b</b> Excess from 2019			
<b>c</b> Excess from 2020			
<b>d</b> Excess from 2021			
<b>e</b> Excess from 2022			

Schedule A (Form 990) 2022

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

MISCELLANEOUS

2018 AMOUNT: \$ 5,154.

2020 AMOUNT: \$ 13,334.

2021 AMOUNT: \$ 180,737.

2022 AMOUNT: \$ 3,799.

**Schedule B**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

Attach to Form 990 or Form 990-PF.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2022**

Name of the organization

OCEANA, INC.

Employer identification number

51-0401308

Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization  <b>OCEANA, INC.</b>	Employer identification number  <b>51-0401308</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	_____ _____ _____	\$ <u>10,000,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	_____ _____ _____	\$ <u>2,800,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	_____ _____ _____	\$ <u>2,552,003.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	_____ _____ _____	\$ <u>2,000,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	_____ _____ _____	\$ <u>1,785,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	_____ _____ _____	\$ <u>1,593,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization  <b>OCEANA, INC.</b>	Employer identification number  <b>51-0401308</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	<hr/> <hr/> <hr/>	\$ <u>1,245,420.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	<hr/> <hr/> <hr/>	\$ <u>1,202,255.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	<hr/> <hr/> <hr/>	\$ <u>1,100,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	<hr/> <hr/> <hr/>	\$ <u>1,000,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization  <b>OCEANA, INC.</b>	Employer identification number  <b>51-0401308</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____

Name of organization  <b>OCEANA, INC.</b>	Employer identification number  <b>51-0401308</b>
---	---

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

**SCHEDULE C**  
**(Form 990)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2022**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <b>OCEANA, INC.</b>	Employer identification number <b>51-0401308</b>
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political campaign activity expenditures ..... \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities ..... \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No
- 4a Was a correction made? .....  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... \$ \_\_\_\_\_
- 4 Did the filing organization file Form 1120-POL for this year? .....  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990) 2022

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b>	Total lobbying expenditures to influence public opinion (grassroots lobbying) .....	41,695.													
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying) .....	267,711.													
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b) .....	309,406.													
<b>d</b>	Other exempt purpose expenditures .....	40,925,479.													
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d) .....	41,234,885.													
<b>f</b>	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000.													
<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 50%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width: 50%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f) .....	250,000.													
<b>h</b>	Subtract line 1g from line 1a. If zero or less, enter -0- .....	0.													
<b>i</b>	Subtract line 1f from line 1c. If zero or less, enter -0- .....	0.													
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2019	(b) 2020	(c) 2021	(d) 2022	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000.
<b>c</b> Total lobbying expenditures	637,649.	433,684.	487,610.	309,406.	1,868,349.
<b>d</b> Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
<b>f</b> Grassroots lobbying expenditures	189,454.	131,276.	192,464.	41,695.	554,889.

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total. Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
<b>a</b> Current year	<b>2a</b>
<b>b</b> Carryover from last year	<b>2b</b>
<b>c</b> Total	<b>2c</b>
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditures next year?	<b>4</b>
<b>5</b> Taxable amount of lobbying and political expenditures. See instructions	<b>5</b>

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, line 1. Also, complete this part for any additional information.

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SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

Name of the organization OCEANA, INC. Employer identification number 51-0401308

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, grants, and end of year, and two yes/no questions about donor property and grant fund usage.

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include purpose of easements, a table for lines 2a-2d (Total number, acreage, certified historic structures, acquired after 2006), and questions about monitoring, expenses, and reporting.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include questions about reporting art and historical treasures, and a table for revenue and assets included.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule D (Form 990) 2022

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange program
  - e  Other \_\_\_\_\_
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment \_\_\_\_\_%
  - b Permanent endowment \_\_\_\_\_%
  - c Term endowment \_\_\_\_\_%
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |   | Yes    | No |
|---|--------|----|
| (i) Unrelated organizations   | 3a(i)  |    |
| (ii) Related organizations  | 3a(ii) |    |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? <input type="checkbox"/> | 3b     |    |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		2,836,773.	1,279,565.	1,557,208.
d Equipment		1,235,172.	1,059,257.	175,915.
e Other		3,248,912.	2,953,446.	295,466.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				2,028,589.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely held equity interests .....		
(3) Other .....		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.)		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) RIGHT OF USE ASSET - OPERATING	7,775,809.
(2) DEPOSITS	239,373.
(3) DUE FROM AFFILIATE	14,121.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.)	8,029,303.

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) LEASE LIABILITY - OPERATING	11,212,711.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)	11,212,711.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ...



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	45,314,795.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains (losses) on investments	<b>2a</b>	-12,688.
<b>b</b>	Donated services and use of facilities	<b>2b</b>	1,162,035.
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	253,753.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	1,403,100.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	43,911,695.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	56,092.
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	56,092.
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	43,967,787.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	42,859,806.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	1,162,035.
<b>b</b>	Prior year adjustments	<b>2b</b>	
<b>c</b>	Other losses	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	253,753.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	1,415,788.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	41,444,018.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	56,092.
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	56,092.
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	41,500,110.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

THE ORGANIZATION PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2022, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX-EXEMPT STATUS.

**PART XI, LINE 2D - OTHER ADJUSTMENTS:**

SPECIAL EVENTS EXPENSE 253,753.

**PART XI, LINE 4B - OTHER ADJUSTMENTS:**

STORE PURCHASING 56,092.

**Part XIII** Supplemental Information *(continued)*

PART XII, LINE 2D - OTHER ADJUSTMENTS:

SPECIAL EVENTS EXPENSE 253,753.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

STORE PURCHASING 56,092.

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2022**

Open to Public  
Inspection

Name of the organization <b>OCEANA, INC.</b>	Employer identification number <b>51-0401308</b>
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**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
SOUTH AMERICA	3	45	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	4,908,101.
EUROPE (INCLUDING ICELAND & GREENLAND)	2	38	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	4,474,840.
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	1	13	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,920,582.
SOUTH ASIA	1	22	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,677,862.
CENTRAL AMERICA AND THE CARIBBEAN	1	12	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	857,676.
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	GRANTMAKING		714,083.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTMAKING		242,726.
CENTRAL AMERICA AND THE CARIBBEAN	0	0	GRANTMAKING		184,316.
<b>3 a</b> Subtotal .....	8	130			14,980,186.
<b>b</b> Total from continuation sheets to Part I .....	0	0			387,954.
<b>c</b> <b>Totals</b> (add lines 3a and 3b) .....	8	130			15,368,140.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2022

**Part I** Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	GRANTMAKING		5,400.
CENTRAL AMERICA AND THE CARIBBEAN	0	0	FUNDRAISING		26,083.
NORTH AMERICA	0	0	FUNDRAISING		6,592.
SOUTH AMERICA	0	0	FUNDRAISING		64,572.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		285,307.
<b>Totals</b> .....					387,954.

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	PRESERVE AND RESTORE THE BOUNTY OF THE OCEAN AND PRESERVE THE MARINE ECOSYSTEM.	714,083.	WIRE TRANSFER	0.		
		EUROPE (INCLUDING ICELAND AND GREENLAND)	SUB-GRANT AGRMT FOR THE GLOBAL FISHERIES TRANSPARENCY COALITION	230,000.	WIRE TRANSFER	0.		
		CENTRAL AMERICA AND THE CARIBBEAN	FUNDS DISBURSED TO LOCAL FISHERS TO FINANCE THE GILLNET TRANSITION FUND	184,316.	WIRE TRANSFER	0.		
		EUROPE (INCLUDING ICELAND AND GREENLAND)	INCREASING TRANSPARENCY OF OWNERSHIP OF FISHING VESSELS	12,726.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	GENERAL SUPPORT FOR PROGRAM ACTIVITIES.	5,400.	WIRE TRANSFER	0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ..... **4**

3 Enter total number of other organizations or entities ..... **1**



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* .....  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* .....  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)* .....  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* .....  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* .....  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* .....  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**PART I, LINE 2:**

OCEANA MAKES GRANTS TO FOREIGN ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

**PART I, LINE 3:**

OCEANA REPORTED THE EXPENDITURES BASED ON THE ACCOUNTING METHOD USED IN ITS AUDITED FINANCIAL STATEMENTS WHICH IS ON AN ACCRUAL BASIS.





**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		SEACHANGE (event type)	NEW YORK CITY BENEFIT (event type)	NONE (total number)	
Revenue	1	1,383,397.	1,623,445.		3,006,842.
	2	1,225,277.	1,508,080.		2,733,357.
	3	158,120.	115,365.		273,485.
Direct Expenses	4				
	5				
	6				
	7	93,005.	93,415.		186,420.
	8	57,254.	3,600.		60,854.
	9	673.	5,806.		6,479.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			
11	Net income summary. Subtract line 10 from line 3, column (d)				19,732.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1				
	2				
Direct Expenses	3				
	4				
	5				
6	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
7	Direct expense summary. Add lines 2 through 5 in column (d)				
8	Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name \_\_\_\_\_

Address \_\_\_\_\_

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party \$ \_\_\_\_\_

c If "Yes," enter name and address of the third party:

Name \_\_\_\_\_

Address \_\_\_\_\_

16 Gaming manager information:

Name \_\_\_\_\_

Gaming manager compensation \$ \_\_\_\_\_

Description of services provided \_\_\_\_\_

- Director/officer       Employee       Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

**SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:**

(I) NAME OF FUNDRAISER: M&R STRATEGIC SERVICES, INC.

(I) ADDRESS OF FUNDRAISER:

1101 CONNECTICUT AVENUE, NW, 7TH FLOOR, WASHINGTON, DC 20036

(II) ACTIVITY: ASSISTS WITH ONLINE FUNDRAISING CAMPAIGNS THROUGH STRATEGY A

(I) NAME OF FUNDRAISER: CHARITY BUZZ

(I) ADDRESS OF FUNDRAISER:

**Part IV** Supplemental Information (continued)

437 FIFTH AVENUE, 11TH FLOOR, NEW YORK, NY 10016

(II) ACTIVITY: CONDUCTED LIVE AND SILENT AUCTIONS FOR THE NY GALA FUNDRAISI

PART I, LINE 2B, COLUMN III

CHARITY BUZZ COLLECTED AND PROCESSED THE WINNING AUCTION BID PAYMENTS FOR OCEANA'S FUNDRAISING EVENT, SEACHANGE.

PART I, LINE 2B, COLUMN (V)

DURING THE YEAR ENDED DECEMBER 31, 2022, M&R STRATEGIC SERVICES, INC. WAS COMPENSATED A TOTAL OF \$258,052. OF THIS AMOUNT, \$202,582 WAS RELATED TO PROFESSIONAL FUNDRAISING CONSULTING. M&R STRATEGIC SERVICES, INC. ALSO PROVIDED DIGITAL ADVERTISING AND AD BUYING.

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
**Attach to Form 990.**  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2022**

**Open to Public  
Inspection**

Name of the organization **OCEANA, INC.** Employer identification number **51-0401308**

**Part I General Information on Grants and Assistance**

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  **Yes**  **No**
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

<b>1 (a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section (if applicable)	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of noncash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of noncash assistance	<b>(h)</b> Purpose of grant or assistance
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES, INC. - 1616 RHODE ISLAND AVENUE NW - WASHINGTON, DC 20036	52-1501082	501(C)(3)	180,000.	0.			SUPPORT ACTIVITIES FOR THE PROJECT JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND
WORLD WILDLIFE FUND, INC. 1250 24TH STREET NW WASHINGTON, DC 20037	52-1693387	501(C)(3)	180,000.	0.			SUPPORT ACTIVITIES FOR THE PROJECT JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND
TIDES CENTER (ICAR) 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	94-3213100	501(C)(3)	90,000.	0.			SUPPORT ACTIVITIES FOR THE PROJECT JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND
PRESIDENT AND FELLOWS OF HARVARD COLLEGE - 1033 MASSACHUSETTS AVENUE, 5TH FLOOR - CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	51,790.	0.			SUPPORT ACTIVITIES FOR THE RESEARCH PROJECT "NUTRITIONAL COMPOSITION OF BOTTOM TRAWL CATCHES"
SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) - 27068 LA PAZ ROAD, #570 - ALISO VIEJO, CA 92656	33-0385201	501(C)(6)	35,000.	0.			2021 OCEANA ANNUAL DONATION TO ASSIST ORGANIZATION WHOSE EFFORTS ARE FOCUSED ON

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **4.**

**3** Enter total number of other organizations listed in the line 1 table **1.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2022

**SEE PART IV FOR COLUMN (H) DESCRIPTIONS**

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance

**Part IV Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT:

CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES, INC.

**Part IV** Supplemental Information

(H) PURPOSE OF GRANT OR ASSISTANCE: SUPPORT ACTIVITIES FOR THE PROJECT  
JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING,  
TRANSPARENCY AND HUMAN RIGHTS

NAME OF ORGANIZATION OR GOVERNMENT: WORLD WILDLIFE FUND, INC.

(H) PURPOSE OF GRANT OR ASSISTANCE: SUPPORT ACTIVITIES FOR THE PROJECT  
JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING,  
TRANSPARENCY AND HUMAN RIGHTS

NAME OF ORGANIZATION OR GOVERNMENT: TIDES CENTER (ICAR)

(H) PURPOSE OF GRANT OR ASSISTANCE: SUPPORT ACTIVITIES FOR THE PROJECT  
JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING,  
TRANSPARENCY AND HUMAN RIGHTS

NAME OF ORGANIZATION OR GOVERNMENT:

SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA)

(H) PURPOSE OF GRANT OR ASSISTANCE: 2021 OCEANA ANNUAL DONATION TO  
ASSIST ORGANIZATION WHOSE EFFORTS ARE FOCUSED ON ENHANCING THE OCEANIC  
ENVIRONMENT.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees  
Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
Attach to Form 990.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2022**

Open to Public  
Inspection

Name of the organization

OCEANA, INC.

Employer identification number

51-0401308

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use   |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence   |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees     |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain .....

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? .....

**3** Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee          | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? .....
- b** Participate in or receive payment from a supplemental nonqualified retirement plan? .....
- c** Participate in or receive payment from an equity-based compensation arrangement? .....
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III .....

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III .....

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? .....

	Yes	No
<b>1b</b>		
<b>2</b>		
<b>4a</b>		X
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>	X	
<b>8</b>		X
<b>9</b>		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2022



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC and/or 1099-NEC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) ANDREW F. SHARPLESS CHIEF EXECUTIVE OFFICER	(i)	499,936.	0.	0.	36,292.	9,850.	546,078.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) JAMES F. SIMON PRESIDENT & GENERAL COUNSEL	(i)	394,969.	0.	0.	48,954.	14,072.	457,995.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) NANCY GOLDEN VP, GLOBAL DEVELOPMENT	(i)	266,848.	0.	0.	65,083.	2,432.	334,363.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) CHRISTOPHER M. SHARKEY CHIEF FINANCIAL OFFICER	(i)	285,971.	0.	0.	33,648.	10,647.	330,266.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) JACQUELINE SAVITZ CHIEF POLICY OFFICER, NORTH AMERICA	(i)	268,267.	0.	0.	27,958.	11,715.	307,940.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) MATTHEW LITTLEJOHN SR. VP, STRATEGIC INITIATIVES	(i)	242,931.	0.	0.	45,500.	11,705.	300,136.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) KATHY WHELPLEY CHIEF OF STAFF	(i)	254,141.	0.	0.	29,823.	7,662.	291,626.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) SONYA BETHEA SR. DIR., GLOBAL HUMAN RESOURCES	(i)	218,325.	0.	0.	22,046.	14,072.	254,443.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) ERIC BILSKY, SR. ATTORNEY & ASSISTANT GENERAL COUNSEL	(i)	201,833.	8,000.	0.	23,995.	944.	234,772.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(10) PASCALE MOEHRLE EXECUTIVE DIRECTOR, EUROPE	(i)	210,549.	0.	0.	0.	0.	210,549.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

ERIC BILSKY, SR. ATTORNEY & ASSISTANT GENERAL COUNSEL, RECEIVED A BONUS IN  
2022 WHICH WAS RELATED TO HIS 20 YEAR ANNIVERSARY. THE BONUS IS DISCLOSED  
IN SCHEDULE J, PART II, COLUMN B(II).



**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
SARAH BEDOLFE	SARAH IS THE DAUGHT	75,118.	SARAH L. BE		X

**Part V Supplemental Information.**

Provide additional information for responses to questions on Schedule L (see instructions).

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: SARAH BEDOLFE

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

SARAH IS THE DAUGHTER OF BOARD DIRECTOR, HERBERT M. BEDOLFE, III

(D) DESCRIPTION OF TRANSACTION: SARAH L. BEDOLFE IS AN EMPLOYEE OF

OCEANA AND HER TOTAL COMPENSATION PAID FOR THE YEAR ENDED DECEMBER 31, 2022 WAS \$75,118.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2022**

Open to Public Inspection

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
Attach to Form 990.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

Name of the organization **OCEANA, INC.** Employer identification number **51-0401308**

<b>Part I</b>	<b>Types of Property</b>	<b>(a)</b> Check if applicable	<b>(b)</b> Number of contributions or items contributed	<b>(c)</b> Noncash contribution amounts reported on Form 990, Part VIII, line 1g	<b>(d)</b> Method of determining noncash contribution amounts
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded	X	2,215	354,947.	FAIR MARKET VALUE
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ( )				
26	Other ( )				
27	Other ( )				
28	Other ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part V, Donee Acknowledgement **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least 3 years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	X	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) 2022

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, LINE 32B:

CHARITY BUZZ SOLICITED, COLLECTED, AND PROCESSED NON-CASH DONATIONS  
RELATED TO OCEANA'S FUNDRAISING EVENTS.

**SCHEDULE O  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
Attach to Form 990 or Form 990-EZ.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2022**

Open to Public  
Inspection

Name of the organization

OCEANA, INC.

Employer identification number

51-0401308

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RETURN THE OCEANS TO THEIR FORMER ABUNDANCE.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION'S MISSION:

OCEANA'S MISSION IS TO PROTECT AND RESTORE THE WORLD'S OCEANS. AS THE  
WORLD'S LARGEST INTERNATIONAL ORGANIZATION FOCUSED SOLELY ON OCEAN

CONSERVATION, OCEANA DEVELOPS TARGETED, SCIENCE-BASED,

THREE-TO-FIVE-YEAR CAMPAIGNS TO ACHIEVE PRACTICAL AND MEASURABLE

OUTCOMES FOR THE OCEANS. WITH OFFICES IN 9 COUNTRIES AND THE EUROPEAN

UNION, OCEANA BENEFITS FROM LOCAL KNOWLEDGE AND EXPERTISE THAT IS

GUIDED BY CENTRAL LEADERSHIP AND SUPPORT IN OUR HEADQUARTERS IN

WASHINGTON, DC. OCEANA EMPLOYS MORE THAN 250 INDIVIDUALS GLOBALLY.

TODAY, OCEANA HAS GROWN FROM AN AMBITIOUS START-UP TO AN INTERNATIONAL

ORGANIZATION WITH A RECORD OF RESULTS ON FOUR CONTINENTS. TOGETHER WITH

OUR ALLIES, WE HAVE WON MORE THAN 275 SIGNIFICANT POLICY VICTORIES AND

PROTECTED MORE THAN 4 MILLION SQUARE MILES OF OCEAN HABITAT. OCEANA

SUPPORTS SCIENCE-DRIVEN OCEAN MANAGEMENT IN THE MOST PRODUCTIVE PARTS

OF THE WORLD'S OCEANS, WITH TEAMS WORKING IN COUNTRIES WHICH CONTROL

MORE THAN A QUARTER OF THE WORLD'S WILD OCEAN FISHERIES (BY CATCH): THE

UNITED STATES, THE EUROPEAN UNION COUNTRIES, THE UNITED KINGDOM,

BELIZE, BRAZIL, CANADA, CHILE, MEXICO, PERU AND THE PHILIPPINES.

OCEANA'S CAMPAIGNS CARRY OUT SIX KEY STRATEGIES:

- STOP OVERFISHING THROUGH SCIENCE-BASED FISHERY MANAGEMENT AND BY

DETECTING ILLEGAL FISHING;

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990) 2022

232211 10-28-22

Name of the organization OCEANA, INC.	Employer identification number 51-0401308
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- REDUCE BYCATCH BY IMPROVING MONITORING AND REPORTING, SETTING BYCATCH LIMITS FOR FISHERIES, AND ENCOURAGING FISHERS TO USE MORE SELECTIVE, SAFER GEAR;

- PROTECT HABITATS AND THE ECOSYSTEMS THAT DEPEND ON THEIR HEALTH,

- EXPAND TRANSPARENCY OF FISHING ACTIVITY AND GOVERNMENT

DECISION-MAKING PROCESSES,

- CURB POLLUTION BY STOPPING THE EXPANSION OF OFFSHORE OIL DRILLING AND

REDUCING THE PRODUCTION OF SINGLE-USE PLASTICS; AND

- PROTECT SPECIES THREATENED BY EXTINCTION FROM DESTRUCTIVE COMMERCIAL ACTIVITIES.

OCEANA'S CAMPAIGNS HAVE DEMONSTRATED THE EFFECTIVENESS OF THESE STRATEGIES FOR RESTORING OCEANS. WITH SOUND POLICIES IN PLACE, OCEAN ECOSYSTEMS RECOVER, OFTEN RAPIDLY, AND ABUNDANT FISHERIES RETURN, EVEN EXCEEDING FORMER LEVELS. A FULLY PRODUCTIVE OCEAN CAN PROVIDE A MEAL A DAY FOR A BILLION PEOPLE, FOREVER. TOGETHER WITH OUR ALLIES, WE ARE SAVING THE OCEANS TO FEED THE WORLD.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ADMINISTRATOR WAS FORCED TO SUBMIT AN AFFIDAVIT ATTESTING TO THE FAIRNESS AND THOROUGHNESS OF DECISION-MAKING ON THE BAN. IN JULY, THE SUPREME COURT RULED THAT THE FISHERS HAD FAILED ON EACH POINT OF THEIR APPLICATION AND DENIED THEIR REQUEST FOR JUDICIAL REVIEW. THE BAN'S ENFORCEMENT IS BEING HANDLED BY THE FISHERIES DEPARTMENT, THE POLICE DEPARTMENT AND THE BELIZE COAST GUARD. THE COAST GUARD HAS PUBLICLY COMMITTED TO ENFORCING THE BAN AND THEIR OPERATIONS TO THAT END HAVE BEEN COVERED IN LOCAL PRESS.



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REDUCE SINGLE-USE PLASTICS

VICTORY IN RESOLVING DELAY OF IMPLEMENTATION OF SINGLE-USE PLASTICS

BAN: THROUGHOUT MUCH OF 2021, THE BAN WE ACHIEVED ON SINGLE-USE PLASTIC FOOD ITEMS WAS DELAYED BY TECHNICAL ISSUES FIRST AT THE DEPARTMENT OF ENVIRONMENT, AND THEN AT THE BUREAU OF STANDARDS, WHICH SETS THE PARAMETERS FOR THE ALTERNATIVE PRODUCTS THAT WOULD REPLACE PROHIBITED ITEMS. IN LATE DECEMBER 2021, THE ATTORNEY GENERAL ADVISED THE MINISTRY OF ENVIRONMENT THAT THE DELAYS REQUIRED NEW NOTICE PERIODS AHEAD OF IMPLEMENTATION. TO COUNTER THE INDUSTRY LOBBY FOR A LONGER-THAN-NECESSARY NOTICE PERIOD, OCEANA IMMEDIATELY PUSHED AND WON THE SHORTEST POSSIBLE NOTICE WINDOW. IN MID-JANUARY 2022, THE NEW REGULATIONS WERE SIGNED INTO LAW BY THE MINISTER OF ENVIRONMENT AND THE NEW THRESHOLD DATE WAS SET AS MARCH 31, 2022. TO EMPHASIZE HIS COMMITMENT TO IMPLEMENTATION OF THE BAN, THE MINISTER OF ENVIRONMENT WARNED IMPORTERS AND MANUFACTURERS THAT PHASE TWO OF THE BAN WILL ADDRESS THE PRODUCTION AND DISTRIBUTION OF POLYETHYLENE TEREPHTHALATE (PET) SINGLE-USE BOTTLES USED FOR WATER, JUICES AND OTHER LIQUIDS.

BRAZIL

IMPLEMENTING CATCH LIMITS FOR COMMERCIAL FISHERIES

OCEANA HAS FACED DELAYS IN OUR CAMPAIGNS IN BRAZIL THAT RELY ON CONGRESSIONAL ACTION, DUE TO A YEAR OF TURMOIL IN 2022 BECAUSE OF THE GENERAL ELECTIONS. OCEANA'S CAMPAIGN TO MODERNIZE BRAZIL'S FISHERY POLICY, HOWEVER, IS ON TRACK. THROUGH A TRANSPARENT CONSULTATION PROCESS, OCEANA SUCCEEDED IN SETTING UP OUR PROPOSAL TO BE THE LEADING LEGISLATIVE VEHICLE FOR REFORM.

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OCEANA'S PROPOSAL FOR CATCH LIMITS ON RED SNAPPER WAS PRESENTED TO AND ENDORSED BY THE BRAZILIAN ASSOCIATION OF RED SNAPPER PRODUCERS (ABRAPPA) AT THE 1ST FISHERIES CONGRESS OF THE MUNICIPALITY OF BRAGANCA IN PARA STATE. MOST OF BRAZIL'S RED SNAPPER FLEET IS CONCENTRATED IN THIS AREA, ALONG WITH COMPANIES THAT PROCESS AND EXPORT THIS FISH. KEY STAKEHOLDERS WERE AT THE CONGRESS, INCLUDING THE MAIN INDUSTRIES, MANY VESSEL OWNERS, AND FISHERS. WE PRESENTED OUR PROPOSAL FOR A RED SNAPPER ANNUAL EXPORT QUOTA, AND REPRESENTATIVES FROM ALL SECTORS AGREE THAT AN EXPORT QUOTA IS THE BEST STRATEGY TO MANAGE THE FISHERY, WHICH IS FACING A CRISIS DUE TO INCREASING ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING AND BIOMASS REDUCTIONS. THE MAIN CHALLENGE IS CREATING A SENSE OF URGENCY AMONG MANAGERS. THE CONGRESS SIGNED AN "OPEN LETTER" (A PROPOSAL BY OCEANA) IN WHICH ALL PARTICIPANTS PUBLICLY CALLED ON THE GOVERNMENT TO (1) RESUME THE FISHERIES MANAGEMENT COUNCILS; (2) END IUU AND REGISTER ALL VESSELS; AND (3) IMPLEMENT ADDITIONAL MANAGEMENT MEASURES AND A FISHING QUOTA BASED ON THE VOLUME OF RED SNAPPER EXPORTED. ABRAPPA DELIVERED OUR LETTER TO THE MINISTER OF FISHERIES ANDR DE PAULA DURING A MEETING IN BRASILIA.

OUR BRAZIL STAFF COMPLETED THE MOST COMPREHENSIVE CONSULTATION PROCESS ON FISHERIES MANAGEMENT EVER CONDUCTED IN BRAZIL IN 2022. OVER THREE MONTHS, OUR TEAM ORGANIZED AND LED A TOTAL OF TEN WORKSHOPS THROUGHOUT BRAZIL TO DISCUSS THE BRAZIL'S FISHERIES LAW WITH ABOUT 150 LEADERS OF THE ARTISANAL FISHING SECTOR, WHO REPRESENT ABOUT ONE MILLION FISHERS THROUGHOUT BRAZIL. WE WON THEIR FULL SUPPORT FOR OCEANA'S PROPOSAL TO REFORM THE CURRENT POLICY.

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## LIMIT SINGLE-USE PLASTICS

BRAZIL'S LEADING FOOD DELIVERY SERVICE, IFOOD, COMMITTED TO ADDITIONAL SINGLE-USE PLASTIC REDUCTIONS. THANKS TO OCEANA'S ADVOCACY, FROM 2025 ON IFOOD WILL ELIMINATE 1.5 BILLION OPTIONAL ITEMS (CUTLERY, CUPS, STRAWS, AND PLATES), 1.2 BILLION STYROFOAM PACKAGING ITEMS AND 500,000 PLASTIC BAGS FROM ITS OPERATIONS PER YEAR. THE COMPANY'S COMMITMENT TO ELIMINATE THIS QUANTITY OF PLASTIC POLLUTION IS IMPORTANT IN ITSELF AND ALSO AS A SIGN TO BRAZIL'S CONGRESS THAT REDUCING SINGLE-USE PLASTIC IS BOTH FEASIBLE AND DESIRED BY THE PUBLIC.

## OCEANA CANADA

IN 2022, OCEANA INC. PROVIDED CHARITABLE CONTRIBUTIONS AND IN-KIND SUPPORT TO OCEANA CANADA, AN INDEPENDENT NONPROFIT ORGANIZATION INCORPORATED UNDER CANADIAN LAW. WITH OUR SUPPORT, OCEANA CANADA ACHIEVED THE FOLLOWING VICTORIES:

## REBUILD CANADA'S FISHERIES

CANADA SET NEW SAFEGUARDS THAT CAN HELP REBUILD WILD FISH STOCKS. THESE REQUIREMENTS FALL UNDER THE FISHERIES ACT, WHICH OCEANA SUCCESSFULLY CAMPAIGNED TO AMEND IN 2019. OCEANA CANADA AND ITS ALLIES ADVOCATED FOR ROBUST RULES TO GUIDE THE RECOVERY OF CANADA'S FISH POPULATIONS, OF WHICH LESS THAN A THIRD ARE CONSIDERED HEALTHY. THIRTY STOCKS ARE LISTED IN THE NEW SAFEGUARDS, AND 16 OF THOSE ARE IN THE "CRITICAL ZONE." THE REQUIREMENTS SET A TARGET, TIMELINE, AND COURSE OF ACTION FOR STOCK REBUILDING, CONTRIBUTING TO MORE SUSTAINABLE FISHERIES, HEALTHY COASTAL COMMUNITIES, AND A MORE ABUNDANT OCEAN.

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THE CANADIAN GOVERNMENT ALSO PROTECTED TWO CRITICALLY DEPLETED SPECIES ATLANTIC MACKEREL AND SOUTHERN GULF SPRING HERRING BY CLOSING THE COMMERCIAL AND BAIT FISHERIES, ADVOCATING FOR FISHERY REBUILDING MEASURES TO BE IMPLEMENTED, AND CALLING FOR THE CLOSURE OF BOTH FISHERIES. ATLANTIC MACKEREL AND SOUTHERN GULF SPRING HERRING PLAY A CRUCIAL ROLE IN THE NORTHWEST ATLANTIC ECOSYSTEM AND FEED MANY OTHER SPECIES, INCLUDING WHALES, SEABIRDS, AND COMMERCIALY IMPORTANT STOCKS SUCH AS COD AND TUNA. THE GOVERNMENT'S DECISION A DIFFICULT BUT NECESSARY MEASURE CONTRIBUTES TO THE CONSERVATION OF THESE FORAGE FISH AND THE LONG-TERM PROSPERITY OF CANADA'S FISHERIES.

#### LIMIT SINGLE-USE PLASTICS

OCEANA SECURED A CAMPAIGN VICTORY IN CANADA AS THE GOVERNMENT BANNED SIX SINGLE-USE PLASTIC (SUP) CATEGORIES OF ITEMS SUCH AS FOOD SERVICE WARE CONTAINERS MADE FROM MULTIPLE TYPES OF PLASTICS. THESE CATEGORIES COVER MORE THAN 30 ITEMS MOST COMMONLY FOUND POLLUTING SHORELINES AND OCEANS, INCLUDING BAGS, CUTLERY, STIR STICKS, RING CARRIERS, STRAWS AND FOODSERVICE WARE (TAKEOUT CONTAINERS, CUPS, BOWLS, PLATES) MADE FROM, OR CONTAINING, PROBLEMATIC PLASTICS. THIS BAN REPRESENTS APPROXIMATELY 33 BILLION SINGLE-USE PLASTIC ITEMS THAT WERE BEING THROWN AWAY EVERY SINGLE YEAR IN CANADA AND NOW WILL NOT GO TO MARKET. THIS VICTORY COMES AS A RESULT OF MULTIPLE MEETINGS WITH THE MINISTER OF ENVIRONMENT, MEMBERS OF PARLIAMENT AND TOP GOVERNMENT OFFICIALS TO STRENGTHEN THE BAN. WE RECRUITED HUNDREDS OF THOUSANDS OF CANADIANS TO TAKE GRASSROOTS ACTIONS TO STAND UP FOR THE OCEANS. WE CURRENTLY ARE ACTING AS AN INTERVENER TO SUPPORT THE GOVERNMENT IN A LAWSUIT BROUGHT FORWARD BY THE PLASTIC INDUSTRY.

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PROTECT ENDANGERED SPECIES FROM ENTANGLEMENT IN FISHING GEAR

OCEANA WAS SUCCESSFUL FOR THE THIRD YEAR IN A ROW AFTER CALLING FOR THE GOVERNMENT TO MAINTAIN ALL MEASURES PUT IN PLACE TO PROTECT RIGHT WHALES FROM ENTANGLEMENT IN FISHING GEAR AND SHIP STRIKES. THIS WAS ONE OF OUR CAMPAIGN MILESTONES TOWARD ESTABLISHING PERMANENT REGULATIONS TO PROTECT RIGHT WHALES FROM SHIP STRIKES AND ENTANGLEMENTS IN FISHING GEAR. THE MAINTAINED MEASURES INCLUDE MANDATORY FISHERIES CLOSURES AND VESSEL SLOWDOWNS TO 10 KNOTS WHEN RIGHT WHALES ARE PRESENT.

CHILE

HABITAT PROTECTION

CHILE CREATED A NEW MARINE PROTECTED AREA (MPA) CALLED 'PISAGUA SEA' IN NORTHERN CHILE, FOLLOWING FOUR EXPEDITIONS LED BY OCEANA AND THE UNIVERSIDAD ARTURO PRAT AND A SCIENTIFIC RECOMMENDATION TO PROTECT THIS IMPORTANT AREA. DURING THE EXPEDITIONS, OCEANA DOCUMENTED OVER 150 SPECIES, INCLUDING LARGE SCHOOLS OF COMMERCIALY IMPORTANT SPECIES ANCHOVIES AND JACK MACKEREL. PISAGUA SEA, WHICH MEASURES 735 SQUARE KILOMETERS, ALSO HAS ABUNDANT MACROALGAE FORESTS, AND SMALLER ORGANISMS LIKE KRILL AND CRUSTACEANS, MAKING IT THE PERFECT ENVIRONMENT FOR LARGER ANIMALS LIKE FISH, MAMMALS, AND BIRDS TO REPRODUCE. THE NEW MPA IS THE FIRST IN THE COUNTRY TO PROTECT NOT ONLY MARINE HABITAT AND SPECIES, BUT ALSO THE LIVELIHOODS OF ARTISANAL FISHERMEN, WHO RELY ON THIS RICHLY BIODIVERSE AREA TO SUPPORT THEIR COMMUNITY AND LOCAL ECONOMY.

THE COMMITTEE OF MINISTERS, WHO REPORT DIRECTLY TO CHILE'S PRESIDENT, UNANIMOUSLY REJECTED THE DOMINGA MINING PROJECT AND RESCINDED ITS

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ENVIRONMENTAL PERMIT. THIS IS A MAJOR MILESTONE IN OUR CAMPAIGN TO PROTECT HABITAT IN LA HIGUERA. THE COMMITTEE BASED ITS RULING ON OFFICIAL ENVIRONMENTAL ASSESSMENTS WHOSE FINDINGS RELIED ON TECHNICAL INFORMATION PROVIDED BY OCEANA.

MANAGEMENT PLANS FOR THE JUAN FERNANDEZ, DESVENTURADAS, AND TORTEL MPAS HAVE BEEN DRAFTED AND AWAIT FINAL VALIDATION BY LOCAL COMMUNITIES. THE COMMUNITY OF JUAN FERNANDEZ AND THE GOVERNMENT CHOSE OCEANA TO HELP LEAD THE IMPLEMENTATION OF CO-MANAGEMENT (COMMUNITY AND GOVERNMENT) PLANS FOR THE MPAS THERE. A SHARED LEADERSHIP APPROACH WILL EMPOWER LOCAL COMMUNITIES TO HELP DEVELOP THE MANAGEMENT PLANS, RECEIVE FUNDS TO SUPPORT MPA ADMINISTRATION, AND BE PARTICIPANTS IN FUTURE DECISION-MAKING PROCESSES, WITH STRONG ATTENTION TO GENDER EQUITY.

#### SALMON AQUACULTURE

IN OUR CAMPAIGN TO GET CONGRESS TO REQUIRE THAT SALMON FARMING COMPANIES MAKE PUBLICLY AVAILABLE THE AMOUNTS AND TYPES OF ANTIBIOTICS THEY USE AND TO GET THE GOVERNMENT TO DECREASE SALMON FARMING BY 25 PERCENT FROM 2012 LEVELS BY 2022, THE SENATE UNANIMOUSLY APPROVED A BILL ON MARCH 1, 2022, TO REQUIRE TRANSPARENCY IN THE SALMON INDUSTRY AND TO DETER SALMON ESCAPES. THE BILL GUARANTEES ACCESS TO TRANSPARENCY IN THE SALMON INDUSTRY, REQUIRING THE FISHERIES SERVICE TO PERIODICALLY PUBLISH INFORMATION BY COMPANY AND LOCATION ON THE ANTIBIOTIC USE, PESTICIDE USE, SALMON BIOMASS, AND MORTALITIES. THE BILL ALSO DETERS SALMON ESCAPES BY IMPOSING A FINE FOR EVERY ESCAPED SALMON EQUAL TO THE ECONOMIC VALUE OF THE SALMON, THUS ADDRESSING THE EXTERNALITIES CAUSED BY SALMON ESCAPES FOR THE FIRST TIME. IN ADDITION, THE BILL PROVIDES FOR REGULATIONS ON FURTHER PREVENTING SALMON ESCAPES, WITH THE PENALTY

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OF SUSPENSION OF OPERATION FOR ANY SALMON AQUACULTURE LOCATION THAT FAILS TO COMPLY.

END OVERFISHING AND REBUILD STOCKS

WE MET OUR 2022 GOALS FOR COMMON HAKE, SARDINES, AND BROWN KELP. WE PERSUADED SERNAPECA TO ESTABLISH SEVERAL MANAGEMENT PRACTICES FOR COMMON HAKE THAT HAVE RESULTED IN HIGH CONFISCATION OF ILLEGALLY CAUGHT FISH IN THE PAST YEAR. WE ARE IMPLEMENTING THREE OF OUR FOUR RECOMMENDATIONS TO THE GOVERNMENT TO IMPROVE THE MONITORING AND SUSTAINABILITY OF SARDINES, WITH OUR FINAL RECOMMENDATION TO BE IMPLEMENTED NEXT YEAR. WE ALSO GOT THE GOVERNMENT TO ADOPT OUR RECOMMENDATIONS FOR IMPROVING THE MARKET CHAIN FOR KELP AND ESTABLISHING MEASURES FOR ALL IDENTIFIED BREECHES OF IUU POINTS WE IDENTIFIED.

EUROPE

ILLEGAL FISHING

ITALIAN AUTHORITIES COMMITTED TO INVESTIGATE THE FISHING ACTIVITY OF ALL THE VESSELS IDENTIFIED BY OCEANA AS TRAWLING INSIDE A PROHIBITED AREA, AND TO UPDATE US. OCEANA HAD SHARED AN ANALYSIS OF APPARENT BOTTOM TRAWLING INSIDE A PROHIBITED AREA WITH THE GENERAL FISHERIES COMMISSION OF THE MEDITERRANEAN (GFCM) SECRETARIAT, THE EUROPEAN UNION, ITALY AND TUNISIA AHEAD OF THE GFCM COMPLIANCE COMMITTEE MEETING IN APRIL. OUR RESULTS SHOWED POTENTIAL TRAWLING BY 13 VESSELS INSIDE WEST GELA BASIN WHERE BOTTOM TRAWLING IS BANNED. THE ITALIAN AUTHORITIES' COMMITMENT IS A MILESTONE TOWARDS OUR LARGER GOAL OF GETTING THE GFCM TO STRENGTHEN ITS LIST OF AUTHORIZED VESSELS AND THE INTERNATIONAL

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COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) TO REQUIRE ITS MEMBERS TO SANCTION THEIR CITIZENS IF THEY SUPPORT IUU FISHING.

ALSO IN 2022, HYDOR AS, A NORWEGIAN-BASED INSURANCE COMPANY, ENDED ITS CONTRACT WITH A FLEET OF VESSELS THAT WERE LISTED BY ICCAT FOR ILLEGALLY FISHING ACROSS THE ATLANTIC. THIS DECISION FOLLOWS CAMPAIGNING BY OCEANA AND ENVIRONMENTAL JUSTICE FOUNDATION, WHO TOGETHER WARNED HYDOR ABOUT ITS UNWITTING SUPPORT OF ILLEGAL FISHING OPERATORS.

#### HABITAT CAMPAIGN

OCEANA ACHIEVED TWO MILESTONES IN OUR CAMPAIGN TO PROTECT AT LEAST THREE BIODIVERSITY HOTSPOTS IN EUROPE BY 2023 TOWARDS OUR LARGER GOAL OF BUILDING THE EFFECTIVENESS OF THE MPA NETWORK IN EUROPE. FIRST, IN EARLY 2022, THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN (GFCM) OFFICIALLY ENDORSED OCEANA'S PROPOSAL TO PROTECT CABLIERS BANK AND ITS UNIQUE DEEP-SEA CORAL REEFS FROM BOTTOM FISHING. THIS AREA IS IN THE ALBORAN SEA, THE WESTERNMOST PART OF THE MEDITERRANEAN. AFTER GAINING POLITICAL SUPPORT FROM THE EUROPEAN COMMISSION, SPAIN, AND MOROCCO, OUR ADVOCACY WORK LED TO THE FORMAL ENDORSEMENT BY THE GFCM SCIENTIFIC ADVISORY COMMITTEE IN JUNE. THIS PAVES THE WAY FOR A FORMAL ADOPTION OF THE PROPOSED FISHERY RESTRICTED AREA AT THE ANNUAL GFCM MEETING IN NOVEMBER.

SECOND, ON WORLD OCEAN DAY IN JUNE 2022, THE SPANISH GOVERNMENT ANNOUNCED ITS COMMITMENT TO INCREASE MPA COVERAGE TO 25 PERCENT OF SPANISH WATERS BY 2025, AS PART OF A LONGER-TERM ROADMAP TOWARDS 30 PERCENT PROTECTION BY 2030. THIS DETAILED PLAN INCLUDES SEVERAL AREAS



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THAT OCEANA HAS RESEARCHED AND ADVOCATED TO PROTECT (E.G., THE CAP BRETON CANYON, SEAMOUNTS TO THE NORTH AND SOUTH OF THE CANARY ISLANDS, AND SEVERAL SITES IN THE MEDITERRANEAN SEA).

OCEANA ACHIEVED TWO MILESTONES THIS YEAR IN ITS CAMPAIGN TO IMPLEMENT LEGISLATION THAT PROHIBITS BOTTOM-TOWED FISHING GEAR IN COASTAL AND MARINE PROTECTED AREAS BY 2026: FIRST, THE EUROPEAN COMMISSION STATED ITS AMBITION TO END BOTTOM TRAWLING IN MPAS WHEN IT PUBLISHED ITS LONG-AWAITED ACTION PLAN TO PROTECT AND RESTORE MARINE ECOSYSTEMS FOR SUSTAINABLE AND RESILIENT FISHERIES. THIS WAS THE FIRST TIME THE COMMISSION MENTIONED PROHIBITING BOTTOM TRAWLING IN AN OFFICIAL COMMUNICATION AND REFLECTS OCEANA'S PRIORITY CAMPAIGN GOAL. SECOND, THE EUROPEAN COMMISSION'S REPORT ON THE FUNCTIONING OF THE EU COMMON FISHERIES POLICY (CFP) RECOGNIZED THE FAILURE OF FISHERIES MANAGEMENT IN MPAS, INCLUDING THE INEFFECTIVE FISHERIES MANAGEMENT INSIDE OFFSHORE MPAS, WHICH OCEANA HAD EXPOSED.

#### REDUCE SINGLE-USE PLASTICS

THE GOVERNMENT OF SPAIN PASSED A NEW LAW THAT INCLUDES MEASURES THAT GO BEYOND THE REQUIREMENTS OF THE EU SINGLE-USE PLASTICS DIRECTIVE, BY ESTABLISHING A BASIS FOR FUTURE DEPOSIT-RETURN SCHEMES; REDUCING SINGLE-USE PLASTICS IN PUBLIC ADMINISTRATION FACILITIES; MAKING PLASTIC PRODUCERS ACCOUNTABLE FOR COVERING THE COSTS OF BEACH CLEAN-UPS; AND ENABLING MUNICIPALITIES TO BAN MASS BALLOON RELEASES AND SMOKING ON BEACHES. THE LAW ON WASTE AND CONTAMINATED SOILS FOR A CIRCULAR ECONOMY WAS ADOPTED IN APRIL FOLLOWING CAMPAIGNING BY OCEANA AND OUR ALLIES.

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MEXICO

TRANSPARENCY AND TRACEABILITY

IN AUGUST 2022, THE MEXICAN GOVERNMENT MADE PUBLIC ITS PLAN UNDER THE HIGH-LEVEL PANEL FOR A SUSTAINABLE OCEAN ECONOMY THAT INCLUDES APPROVING THE SEAFOOD TRACEABILITY STANDARD AMONG ITS TOP PRIORITIES. WE BELIEVE THAT THE GOVERNMENT'S PUBLICIZING OF THIS PLAN WILL EXPEDITE APPROVAL OF THE STANDARD, AND WE WILL REMAIN VIGILANT TO ENSURE THIS OCCURS. IN MARCH 2021, OCEANA ACHIEVED A MAJOR STEP TOWARDS GAINING A SEAFOOD TRACEABILITY STANDARD FOR MEXICO WHEN CONAPESCA'S TECHNICAL COMMITTEE ON TRACEABILITY AGREED ON A FINAL DRAFT OF THE RULE THAT INCLUDES RECOMMENDATIONS DEVELOPED BY OCEANA. THE PROCESS TO FINALIZE THE REGULATION HAS BEEN STALLED SINCE THEN, HOWEVER, DUE MAINLY TO LEADERSHIP CHANGES AT CONAPESCA. THE STALL LED US TO FOCUS ON OTHER POLICYMAKERS WHO COULD HELP ADVANCE THE TRACEABILITY STANDARD, INCLUDING THE SECRETARIES OF ECONOMY AND FOREIGN AFFAIRS AND SENATORS WHO ARE MEMBERS OF THE TRADE AND FOREIGN AFFAIRS COMMITTEES.

WE HAVE ALSO BEEN PUTTING FORTH ECONOMIC AND INTERNATIONAL TRADE ARGUMENTS SINCE LAST YEAR TO RAISE THE PROFILE OF FISHING TRANSPARENCY AND THE NEED FOR A TRACEABILITY STANDARD. THE COMMITMENTS OF THE UNITED STATES-MEXICO-CANADA TRADE AGREEMENT (USMCA), COMBINED WITH RECENT U.S. SANCTIONS OF SEAFOOD BEING EXPORTED BY MEXICO, LED TO IN-PERSON MEETINGS FOR OCEANA WITH THE U.S. AMBASSADOR TO MEXICO AND THE MEXICAN AMBASSADOR TO THE U.S. IN THESE MEETINGS, WE PROPOSED SPECIFIC POLICY CHANGES THAT FOCUS ON TRANSPARENCY, DATA GATHERING, SEAFOOD TRACEABILITY STANDARDS, AND THE ADOPTION OF THE PORT STATE MEASURES AGREEMENT.

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**ILLEGAL FISHING**


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WE ACHIEVED TWO KEY MILESTONES RELATED TO STOPPING ILLEGAL FISHING IN ALACRANES REEF NATIONAL PARK FOLLOWING 1) THE NOVEMBER RELEASE OF OUR AUDIT OF 39 MARINE PROTECTED AREAS IN MEXICO, AND 2) THE JULY RELEASE OF THE SCIENTIFIC FINDINGS FROM OUR AUGUST 2021 EXPEDITION TO BAJOS DEL NORTE IN THE GULF OF MEXICO. FIRST, THE COMMISSIONER OF CONANP, WHICH IS RESPONSIBLE FOR THE ESTABLISHMENT AND MANAGEMENT OF MPAS, MET WITH OCEANA AND INFORMED US THAT THERE WOULD BE INCREASED SURVEILLANCE IN ALACRANES TO MONITOR IUU FISHING AND ILLEGAL TOURISM ACTIVITIES IN THE PROTECTED AREA. HE ALSO AGREED TO WORK WITH US ON CREATING A NEW MPA IN BAJOS DEL NORTE AFTER SEEING THE RESULTS OF OUR AUGUST 2021 EXPEDITION THERE. SECOND, SENATORS NANCY DE LA SIERRA AND RAL BOLAOS CACHO FROM THE ENVIRONMENT COMMITTEE IN THE SENATE INTRODUCED FOLLOWING OCEANA'S MPA AUDIT A BILL TO REQUIRE VESSEL MONITORING SYSTEM (VMS) TRACKING FOR MEXICAN VESSELS ENTERING MPAS AND FORBIDDING INDUSTRIAL FISHING ACTIVITIES IN CORE ZONES IN MPAS.

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**FISHERIES REBUILDING**


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IN JUNE 2022, OCEANA PRESENTED A FISHERIES REBUILDING PROPOSAL FOR REFORM OF THE GENERAL FISHERIES LAW TO THE HOUSE FISHERIES COMMITTEE. THE PRESIDENTS OF BOTH THE FISHERIES COMMITTEE IN THE LOWER CHAMBER AND IN THE SENATE HAVE INVITED OCEANA TO SERVE AS TECHNICAL ADVISORS AS THEY WORK TO REFORM THE COUNTRY'S GENERAL FISHERIES LAW TO INCLUDE A REQUIREMENT FOR REBUILDING DEPLETED FISHERIES. THE PRESIDENT OF THE FISHERIES COMMITTEE IN THE SENATE ALSO AGREED TO INCLUDE FISHERIES REBUILDING AS A TOP PRIORITY FOR THE PRESIDENTS PARTY IN THIS LEGISLATIVE PERIOD.

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IN PERU, WE CONDUCTED A THOROUGH CAMPAIGN REVIEW AND CONSOLIDATED OUR GOALS INTO THREE CAMPAIGNS, WINNING ONE MULTI-YEAR CAMPAIGN VICTORY, A NATIONAL LAW AGAINST FISHERIES CRIMES, A YEAR AHEAD OF OUR TIMELINE. WE ACHIEVED MILESTONES BUT ALSO ENCOUNTERED DELAYS IN OUR OTHER TWO CAMPAIGNS: TO REFORM PERU'S FISHERIES ACT AND PROTECT THE 5-MILE ZONE. OUR LEADERSHIP ON THE OIL SPILL EARLIER THIS YEAR AND OUR EFFORT TO BUILD RELATIONSHIPS WITH ARTISANAL FISHERS THROUGHOUT PERU, ALONG WITH SUCCESSFUL COMMUNICATIONS, HAS GAINED US WIDE RECOGNITION AS THE MAIN NON-GOVERNMENTAL ORGANIZATION FIGHTING OIL POLLUTION AND ILLEGAL FISHING IN PERU. THIS WAS ACHIEVED AGAINST THE BACKDROP OF A POLITICAL CRISIS THAT BEGAN IN 2016 AND CONTINUES TO ESCALATE, RESULTING IN CONSTANT STAFF CHANGES IN THE EXECUTIVE BRANCH AND THE DIVERSION OF CONGRESS ON DISPUTES WITH THE PRESIDENT RATHER THAN POLICYMAKING. OUR TACTICS HAVE FOCUSED ON BUILDING RELATIONSHIPS WITH THE ARTISANAL FISHING SECTOR WHOSE SUPPORT IS CRITICAL TO OUR SUCCESS, THROUGH TRIPS THROUGHOUT PERU'S COAST TO MEET WITH FISHING LEADERS AND FISHERS. WE ARE ALSO BUILDING ADVOCATES IN CONGRESS BY MEETING REGULARLY WITH CONGRESSPEOPLE, ONE-BY-ONE, TO NURTURE PARTNERSHIPS.

ILLEGAL FISHING

THE CONGRESS APPROVED THE MODIFICATION OF THE ORGANIZED CRIMES ACT, WHICH NOW DEFINES ILLEGAL FISHING AND WILDLIFE TRAFFICKING AS CRIMES THAT CAN BE INVESTIGATED AND PROSECUTED AS ORGANIZED CRIME. OCEANA WORKED WITH THE CONGRESS' JUSTICE COMMISSION ON THIS BILL, WHICH RESULTED IN THE BILL'S APPROVAL IN LATE APRIL 2022. THE REFORM WAS

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APPROVED UNANIMOUSLY IN THE CONGRESS PLENARY IN JUNE 2022 AND PRESENTED TO THE EXECUTIVE BRANCH FOR THE OFFICIAL DECLARATION. THE BILL ADDRESSES THE FACT THAT ILLEGAL FISHING AND TRAFFICKING OF MARINE SPECIES ARE NOT ISOLATED CASES BUT ARE THE RESULT OF CRIMINAL ORGANIZATIONS THAT ARE BEHIND THESE CRIMES. THE NEW LAW WILL ALLOW THIS PROBLEM TO BE TREATED WITH THE RIGHT TOOLS, SUCH AS WIRETAPS, UNDERCOVER AGENTS AND THE BEST TEAMS FROM THE SPECIALIZED POLICE TO PROSECUTE THESE CRIMES. IN JULY 2022, THE EXECUTIVE BRANCH SUGGESTED A MINOR TEXT AMENDMENT TO INCLUDE FRESHWATER WILDLIFE IN THE FINAL TEXT.

#### FISHERIES MANAGEMENT

OCEANA PERSUADED THE CONGRESSIONAL PRODUCTION COMMITTEE TO APPROVE A BILL AMENDING THE FISHERIES ACT, A KEY MILESTONE TOWARD OUR GOAL TO GET CONGRESS TO AMEND THE FISHERIES ACT TO INCLUDE CRITICAL PRINCIPLES FOR SCIENCE-BASED MANAGEMENT. THE BILL WILL (I) PROTECT THE FIRST FIVE MILES FROM THE COAST FROM DESTRUCTIVE GEAR AND RECLASSIFY THE FISHING FLEET SO THAT VESSELS TREATED AS ARTISANAL TRULY ARE ARTISANAL; (II) IMPLEMENT MEASURES TO RECOVER OVEREXPLOITED FISHERIES; AND (III) SET FISHING QUOTAS OBSERVING REFERENCE POINTS TO ENSURE SUSTAINABILITY.

#### PHILIPPINES

##### STOP ILLEGAL COMMERCIAL FISHING IN MUNICIPAL WATERS

THE COMMERCIAL FISHING INDUSTRY HAS WAGED A RELENTLESS CAMPAIGN AGAINST OUR EFFORTS FIGHTING ILLEGAL COMMERCIAL FISHING IN MUNICIPAL WATERS, A PROMINENT EXAMPLE OF WHICH IS THE PR CAMPAIGN THEY LAUNCHED IN SEPTEMBER PROMOTING THE NEED FOR THEM TO FISH IN MUNICIPAL WATERS. THE INDUSTRY HAS BEEN EMBOLDENED BY THE CONTINUING DELAYS IN ENACTING THE

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RULES GOVERNING VESSEL MONITORING DEVICE INSTALLATIONS ON FISHING

VESSELS, AS WELL AS LEGAL CHALLENGES TO THE VESSEL MONITORING MEASURES

THAT HAVE BEEN TAKEN UP BY SOME COURTS IN THE COUNTRY. WE HAVE

CONTINUED TO PUSH BACK AGAINST THE INDUSTRY'S EFFORTS, BOTH IN THE

MEDIA AND VIA THE COURTS SYSTEM, AND WE HAVE CONTINUED TO ENGAGE WITH

RELEVANT GOVERNMENT OFFICIALS AND STAKEHOLDERS TO URGE THEM FORWARD IN

FULFILLING THEIR MANDATES TO IMPLEMENT VESSEL MONITORING MEASURES. TO

THAT END, WE HAVE MADE GOOD PROGRESS IN OUR EFFORTS LIAISING WITH

GOVERNMENT OFFICIALS: IN SEPTEMBER THE NEW SOLICITOR GENERAL ISSUED A

MEMORANDUM TO THE PRESIDENT OF THE PHILIPPINES REASSERTING THAT THE

FISHERIES BUREAU AND THE NATIONAL TELECOMMUNICATIONS COMMISSION (NTC)

MAY ENFORCE THE VESSEL MONITORING RULES NATIONWIDE. FORMER DIRECTOR

DOMENDEN OF THE FISHERIES BUREAU ALSO ISSUED AN ORDER REQUIRING ALL

INDUSTRY MEMBERS WITHOUT A TRACKING DEVICE TO SECURE THE IDENTITY

NUMBER FROM THE NTC, THOUGH THIS TOO IS BEING CHALLENGED BY THE

INDUSTRY AND MIGHT LEAD TO A DELAY.

DETER ILLEGAL DUMP AND FILL ACTIVITY

OCEANA ACHIEVED OUR MULTI-YEAR CAMPAIGN GOAL TO DETER BY 2022 ILLEGAL

DUMP-AND-FILL PROJECTS THAT COULD HARM MARINE ECOSYSTEMS. WINNING

STRONG POLICY ACTION BY THE DEPARTMENT OF THE INTERIOR AND LOCAL

GOVERNMENTS REQUIRES STRICT ADHERENCE WITH ENVIRONMENTAL LAWS BY LOCAL

GOVERNMENTS BEFORE ALLOWING DUMP-AND-FILL PROJECTS.

THE DEPARTMENT OF INTERIOR AND LOCAL GOVERNMENTS SIGNED A POLICY

REITERATING THE RESPONSIBILITY OF ALL LOCAL GOVERNMENT UNITS OVER

PROJECTS COVERED BY THE NATIONAL GOVERNMENT'S POLICY THAT CAN SUBJECT

AUTHORITIES TO ADMINISTRATIVE, CIVIL, OR CRIMINAL LIABILITY UNDER

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EXISTING LAWS. THIS POLICY EMPHASIZES TO THE LOCAL GOVERNMENTS THEIR CRITICAL AND PRIMARY RESPONSIBILITY TO ENSURE HEALTHY AND RESILIENT NATURAL ECOSYSTEMS. LOCAL GOVERNMENTS MUST COMPLY WITH SAFEGUARDS THAT INCLUDE PUBLIC CONSULTATION AND RIGOROUS ASSESSMENTS OF PROPOSED PROJECTS. THESE ASSESSMENTS MUST FOCUS ON EFFECTS ON LIVELIHOODS OF SMALL-SCALE FISHERS, AS WELL AS THE EFFECTS ON SENSITIVE HABITATS SUCH AS CORAL REEFS, SEAGRASS AND MANGROVE FORESTS THAT PROTECT TYPHOON-PRONE ISLANDS AND SEQUESTER CARBON DIOXIDE. PARALLEL TO THE POLICY ISSUANCE, OCEANA IS SET TO LAUNCH A SCORECARD TO GUIDE LOCAL GOVERNMENTS AND CITIZENS ON THE SPECIFIC REQUIREMENTS OF FISHERIES AND RELATED LAWS THAT NEED TO BE COMPLIED WITH BEFORE UNDERTAKING DUMP-AND-FILL PROJECTS THAT HARM THE ENVIRONMENT.

STRENGTHEN CORAL-RICH MARINE PROTECTED AREAS ESTABLISHMENT OF PANAON ISLAND IN SOUTHERN LEYTE AS A CORAL-RICH MPAS HAS BEEN DELAYED BY INACTION WITHIN THE BIODIVERSITY MANAGEMENT BUREAU (BMB). THOUGH OUR LEGISLATION HAS BEEN FILED IN CONGRESS, AND A MILESTONE WAS REACHED WHEN THE REGIONAL COMMITTEE APPROVED THE PROTECTED AREA SUITABILITY ASSESSMENT FOR PANAON ISLAND, THAT ASSESSMENT MUST FIRST BE ENDORSED BY THE BMB, WHICH HAS THUS FAR GIVEN NO INDICATION THAT IT WILL DO SO. THEREFORE, WE ARE APPEALING TO THE SECRETARY OF THE DEPARTMENT OF ENVIRONMENT, WHO IS RESPONSIBLE FOR RECOMMENDING THE MPA TO THE PRESIDENT, WHO WOULD THEN ISSUE A PRESIDENTIAL PROCLAMATION FOR PANAON ISLAND AS A PROTECTED AREA.

PROTECT SARDINES

SETBACK: THE BUREAU OF FISHERIES' INACTION HAS PREVENTED THE FISHERY MANAGEMENT AREAS FROM TAKING NECESSARY ACTIONS TO PROTECT SARDINES. AS

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A RESULT, OCEANA IS PROPOSING TO GET THE LOCAL GOVERNMENT UNITS TO ACT AND TARGET STRATEGIC DECISION-MAKERS INDIVIDUALLY. THIS SHIFT WILL LIKELY DELAY BY ONE YEAR THE ACHIEVEMENT OF OUR GOAL TO PROTECT SARDINES AND ESTABLISH FISHERIES MANAGEMENT AREAS BY MARCH 2023.

RESTORE MANGROVE FORESTS

IN 2022, WE SURPASSED OUR 2023 CAMPAIGN GOAL TO PERSUADE 10 LOCAL GOVERNMENTS TO PASS LEGAL INSTRUMENTS TO ESTABLISH LOCAL COASTAL GREENBELT ZONES, WITH OUR 11TH ORDINANCE RECENTLY SECURED AS PART OF OUR CAMPAIGN TO RESTORE MANGROVE FORESTS IN THE PHILIPPINES. THESE COASTAL GREENBELT ZONE ORDINANCES PRIMARILY SEEK TO PROTECT MANGROVE AND BEACH FOREST SPECIES, PREVENT COASTAL EROSION AND MITIGATE THE ADVERSE IMPACTS OF NATURAL COASTAL HAZARDS.

REDUCE SINGLE-USE PLASTICS

OUR MULTI-YEAR CAMPAIGN TO ESTABLISH A NATIONWIDE BAN ON SINGLE-USE PLASTICS THROUGH WINNING A KEY LAWSUIT IS CURRENTLY DELAYED AS THE LAWSUIT IS AWAITING JUDGMENT BY THE COURT OF APPEALS.

UNITED KINGDOM

OCEANA LAUNCHED TWO NEW CAMPAIGNS IN THE UK IN THE SUMMER OF 2022:

- BY 2026, 20 PERCENT OF UK SEAS ARE FULLY PROTECTED FROM BOTTOM-TOWED FISHING GEAR. THIS INCLUDES BANNING BOTTOM TRAWLING, THE MOST DESTRUCTIVE FORM OF INDUSTRIAL FISHING, AS WELL AS DREDGING AND OTHER METHODS THAT DESTROY SEAFLOOR HABITAT.

- BY 2026, ALL COMMERCIAL STOCKS, INCLUDING SHARED STOCKS, AROUND THE



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UK ARE FISHED AT SUSTAINABLE MANAGEMENT LEVELS. THIS WILL HELP DEPLETED STOCKS RECOVER, SUPPORT THOUSANDS OF FISHING JOBS, AND HELP COASTAL COMMUNITIES ADAPT TO THE IMPACTS OF CLIMATE CHANGE.

IN DECEMBER 2022, OCEANA'S BOARD OF DIRECTORS APPROVED ANOTHER NEW CAMPAIGN IN THE UNITED KINGDOM TO GET THE GOVERNMENT TO BAN NEW OFFSHORE DRILLING IN THE UK'S EXCLUSIVE ECONOMIC ZONE BY 2028.

#### FISHERIES MANAGEMENT

OCEANA WAS SUCCESSFUL IN GAINING AN AGREEMENT BETWEEN THE UK AND THE EU TO FOLLOW SCIENTIFIC ADVICE IN SETTING THE 2022 AND 2023 TACS (TOTAL ALLOWABLE CATCH LIMITS) FOR NORTH SEA SANDEEL, A FORAGE FISH CAUGHT PRIMARILY FOR REDUCTION TO FISH MEAL AND OIL AS PART OF OUR CAMPAIGN TO ENSURE THAT ALL COMMERCIAL STOCKS, INCLUDING SHARED STOCKS, AROUND THE UK ARE FISHED AT SUSTAINABLE MANAGEMENT LEVELS. FOLLOWING CAMPAIGNING BY OCEANA, IN DECEMBER 2022 THE UK AND EU REACHED AN AGREEMENT TO FOLLOW SCIENTIFIC ADVICE ON THE 2022 TAC FOR NORTH SEA SANDEEL. THE UK GOVERNMENT HAS ALSO PUBLISHED A CONSULTATION ON ITS INDUSTRIAL FISHING STRATEGY, PROPOSING EITHER A FULL OR PARTIAL CLOSURE TO ALL SANDEEL FISHING IN ENGLAND'S WATERS. A FULL CLOSURE WOULD BE WELCOME NEWS AND SOMETHING OCEANA HAS BEEN ADVOCATING FOR THREE YEARS.

#### TRANSPARENT OCEANS INITIATIVE

THROUGHOUT 2022, OCEANA'S TRANSPARENT OCEANS INITIATIVE (TOI) HAS BEEN FOCUSED ON ILLUMINATING THE HARMFUL IMPACT OF DISTANT WATER FISHING AND PUSHING THE WORLD TRADE ORGANIZATION (WTO) TO END HARMFUL FISHING SUBSIDIES THAT ENCOURAGE OVERFISHING WITHIN THESE FLEETS. ON MARCH 23, TOI RELEASED A NEW INVESTIGATION INTO THE LINKAGES BETWEEN ILLEGAL

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FISHING AND OTHER MARITIME CRIMES. DR. DYHIA BELHABIB AND HER TEAM ANALYZED A DATABASE OF 6,053 RECORDED VIOLATIONS TO BETTER UNDERSTAND HOW AT-SEA VIOLATIONS ARE DISTRIBUTED AMONGST THE GLOBAL FISHING FLEET. ACCORDING TO DR. BELHABIB'S RESEARCH, AT LEAST ONE THIRD OF ALL RECORDED OFFENSES IN THE DATABASE ARE ASSOCIATED WITH JUST 20 COMPANIES AND 450 INDUSTRIAL FISHING VESSELS. THE INVESTIGATION ALSO FOUND THAT HUMAN RIGHTS ABUSES AT TIMES GO HAND IN HAND WITH OTHER OFFENSES - APPROXIMATELY 11 PERCENT OF ALL RECORDED OFFENSES INVOLVED LABOR AND HUMAN RIGHTS ABUSES, ALMOST ALL COMMITTED BY INDUSTRIAL FISHERS. THIS RESEARCH HIGHLIGHTS THE FACT THAT CURRENT SANCTIONS TO PREVENT ILLEGAL FISHING AND OTHER MARITIME CRIMES ARE NOT ONLY INADEQUATE TO PREVENT ABUSES AT SEA BUT ARE OFTEN DISPROPORTIONATELY LEVIED AGAINST SMALL-SCALE FISHERS. INDUSTRIAL VESSELS AND FISHING COMPANIES, MEANWHILE, ARE LEFT LARGELY OFF THE HOOK. BY BETTER UNDERSTANDING WHICH COMPANIES, VESSELS, OR COUNTRIES ARE RESPONSIBLE FOR THE MAJORITY OF MARITIME OFFENSES, WE CAN BETTER DESIGN SANCTIONS OR ENFORCEMENT MEASURES TO ADEQUATELY PROTECT OUR OCEANS AND THOSE WHO RELY ON THEM. THESE SHOULD NOT ONLY INCLUDE TOUGHER PENALTIES BUT ALSO NEW POLICIES THAT INCENTIVIZE BEHAVIOR CHANGE.

IN DECEMBER WE RELEASED THE BLUE SHARK REPORT, A NEW OCEANA-COMMISSIONED STUDY THAT REVEALS VESSELS AUTHORIZED TO FISH FOR TUNA IN THE HIGH SEAS ARE OPERATING MAJOR SHARK FISHERIES, PROPPING UP A MASSIVE BLUE SHARK (PRIONACE GLAUCA) COMMERCIAL FISHERY WITH A LANDING VALUE WORTH USD \$411 MILLION. THIS FIRST-OF-ITS-KIND ANALYSIS SHOWS THE CATCH VALUE OF BLUE SHARK EXCEEDS THAT OF EACH OF THE THREE ICONIC BLUEFIN TUNA FISHERIES. THE REPORT CONFIRMS BLUE SHARK REPRESENTS 60% OF ALL REPORTED GLOBAL SHARK CATCHES, SINGULARLY

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DOMINATING BOTH THE SHARK FIN AND MEAT TRADE, WHILE DIRECT MANAGEMENT OF THE SPECIES REMAINS ABSENT. THIS REPORT DEMONSTRATES THE IMPORTANCE OF THE GLOBAL BLUE SHARK FISHERY, FOR THE FIRST TIME QUANTIFYING ITS GLOBAL CATCH AND ECONOMIC VALUE AND MAPPING THE GLOBAL TRADE NETWORK FOR ITS MEAT AND FINS. THE REPORT RECOMMENDS REFORMS TO PROVIDE DIRECT MANAGEMENT OF BLUE SHARKS IN THE JURISDICTIONS OF REGIONAL FISHERIES MANAGEMENT ORGANIZATIONS (RFMOS) WHERE THEY ARE MOSTLY CAUGHT. IT ARGUES THAT MOST BLUE SHARK CATCH IS TARGETED, AND THAT IT IS MISLEADING TO MERELY CONSIDER BLUE SHARK AS 'BYCATCH' SPECIES UNINTENTIONALLY CAUGHT AND/OR DISCARDED WHEN FISHING FOR ANOTHER TARGETED SPECIES. BASED ON DATA REPORTED TO THE FOOD AND AGRICULTURE ORGANIZATION (FAO) AND TUNA REGIONAL FISHERY MANAGEMENT ORGANIZATIONS (T-RFMOS), 189,783 METRIC TONS OF BLUE SHARK WERE LEGALLY CAUGHT AND LANDED IN 2019, AMOUNTING TO OVER 7 MILLION BLUE SHARKS. LARGE-SCALE COMMERCIAL FLEETS, MAINLY LONG-LINERS, CATCH 90% OF BLUE SHARK CATCHES, AND DISTANT-WATER FISHING NATIONS CATCH 74% OF THE GLOBAL BLUE SHARK CATCH. UNLIKE THE SOUTHERN BLUEFIN TUNA WHICH HAS A FULL RFMO DEDICATED TO IT, THERE IS NO RFMO DEDICATED TO THE CONSERVATION AND MANAGEMENT OF ANY OCEANIC SHARK.

CORPORATE PLASTICS CAMPAIGN

OUR GLOBAL CAMPAIGN TO WIN COMMITMENTS FROM LEADING GLOBAL BRANDS TO REDUCE THEIR USE OF SINGLE-USE PLASTICS SUPPORTS OUR NATIONAL POLICY OUTCOMES BY VALIDATING TO POLICY MAKERS THAT PLASTIC REDUCTION IS POLITICALLY "SAFE" AND PRACTICALLY ACHIEVABLE. IT MAKES A FUNDAMENTAL CONTRIBUTION TO "CHANGING THE POPULAR NARRATIVE" FROM IMPROVING RECYCLING TO MANDATING REDUCTIONS A COMMUNICATIONS CHALLENGE DEEMED

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ESSENTIAL BY THE LEADERS OF THE BIG INTERNATIONAL BREAK FREE FROM  
PLASTICS COALITION, OF WHICH OCEANA IS PART.

TO ACHIEVE THIS GOAL, OCEANA IS FOCUSED ON TWO GLOBAL BRANDS WHOSE  
PROMINENCE GIVES THEM ENORMOUS LEVERAGE OVER THE GLOBAL CONVERSATION  
ABOUT SINGLE-USE PLASTICS: AMAZON AND COCA-COLA. THESE COMPANIES ARE  
PIVOTAL TO THE GLOBAL OPPORTUNITY BECAUSE THEIR RESOURCES, GLOBAL  
STATURE AND INNOVATIVE BUSINESS PRACTICES GIVE THEM UN-MATCHED  
PRACTICAL AND STRATEGIC OPPORTUNITIES TO DELIVER MEASURABLE  
YEAR-OVER-YEAR REDUCTIONS IN THE VOLUME OF SINGLE-USE PLASTICS THEY  
SELL TO THEIR CUSTOMERS.

RECENT PROGRESS IN OUR GLOBAL PLASTIC CAMPAIGNS TARGETING CORPORATIONS  
TO OFFER PLASTIC-FREE ALTERNATIVES INCLUDES:

OCEANA REACHED A SIGNIFICANT MILESTONE IN OUR CAMPAIGN TO LIMIT  
AMAZON'S PLASTIC FOOTPRINT WHEN, AT THE MAY 2023 SHAREHOLDER MEETING,  
48% OF SHAREHOLDERS (181,296,823 VOTES) SUPPORTED A RESOLUTION THAT  
WOULD REQUIRE THE COMPANY TO REPORT ON HOW MUCH PLASTIC IT USED, THE  
BENEFITS TO REDUCING ITS PLASTIC, AND THE POTENTIAL RISKS OF CONTINUING  
BUSINESS AS USUAL. THOUGH THIS MEASURE NARROWLY MISSED THE REQUIRED  
MAJORITY TO PASS, IT SENT A STRONG SIGNAL TO AMAZON LEADERSHIP AND IS A  
MAJOR STEP FORWARD IN OUR CAMPAIGN, AS THE RESOLUTION RECEIVED THE MOST  
VOTES OF ANY RESOLUTION FILED.

IN LATE JANUARY 2022, OCEANA MET WITH AMAZON'S EXTERNAL RELATIONS  
DIRECTOR, SUSAN BEVERLY, AND SUSTAINABILITY COMMUNICATIONS LEAD, LUIS  
DAVILA. OCEANA HAS BEEN IN CONTACT WITH REPRESENTATIVES OF AMAZON'S

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SUSTAINABILITY TEAM SINCE THE LAUNCH OF THE CAMPAIGN IN 2020. ALTHOUGH DETAILS WERE NOT PROVIDED BY AMAZON, WE WERE TOLD THAT AMAZON WOULD BE MAKING MORE ANNOUNCEMENTS IN 2022 ON THEIR EFFORTS TO REDUCE PLASTIC PACKAGING WASTE, AND WE SUSPECT THESE WILL BE A WIDER ROLL-OUT OF THEIR PAPER-PADDED MAILER, TO REPLACE PLASTIC MAILERS. WE HAVE BEEN TOLD AS WELL THAT THE COMPANY WILL ALSO REPLACE SINGLE-USE PACKAGING IN OTHER MAJOR EUROPEAN MARKETS (AS IT DID IN GERMANY). AMAZON ALSO INDICATED THAT OCEANA'S EFFORTS WERE HAVING AN IMPACT ON COMPANY DECISION-MAKERS. OCEANA HAS BEEN SHARING OUR REPORTS AND RELEASES WITH THE COMPANY BEFORE RELEASE.

WINNING BEVERAGE COMPANY COMMITMENTS TO INCREASE REFILLABLE SHARE:

OCEANA ACHIEVED A MAJOR VICTORY IN FEBRUARY 2022, WHEN COCA-COLA ANNOUNCED A NEW GOAL TO HAVE AT LEAST 25 PERCENT OF ALL BEVERAGES GLOBALLY ACROSS ITS PORTFOLIO OF BRANDS SOLD IN REFILLABLE GLASS OR PLASTIC BOTTLES, OR IN REFILLABLE CONTAINERS THROUGH A TRADITIONAL FOUNTAIN OR COCA-COLA FREESTYLE DISPENSERS, BY 2030.

FOLLOWING THIS ANNOUNCEMENT, OCEANA ATTENDED A MEETING WITH COCA-COLA'S VICE PRESIDENT OF GLOBAL POLICY AND SUSTAINABILITY, MICHAEL GOLTZMAN, AND SENIOR DIRECTOR OF ENVIRONMENTAL POLICY, BEN JORDAN. DURING THIS MEETING, OCEANA LEARNED THAT THE NEW GLOBAL TARGET REPRESENTS VOLUME OF SALES. GIVEN THAT REUSABLE PACKAGING ACCOUNTED FOR ONLY 16% OF THE COMPANY'S TOTAL VOLUME IN 2020 (WITH OVER 14% OF THE SHARE COMING FROM REFILLABLE BOTTLES AND 2% FROM OTHER REFILLABLE CONTAINERS), THE COMPANY PROPOSED INCREASING ITS REFILLABLE MARKET SHARE BY NINE PERCENTAGE POINTS, GLOBALLY. IN 2020, OCEANA HAD RELEASED A REPORT THAT FOUND THAT IF THE VOLUME OF BEVERAGES SOLD IN REFILLABLE BOTTLES (IN

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PLACE OF SINGLE-USE PLASTIC BOTTLES) INCREASED BY JUST 10% IN COASTAL COUNTRIES, MARINE PLASTIC BOTTLE POLLUTION COULD DECREASE BY 22% AND BY AS MANY AS 7.6 BILLION BOTTLES A YEAR. GIVEN THAT COCA-COLA IS THE WORLD'S LARGEST SOFT-DRINK COMPANY, WITH A 20% SHARE OF ALL SOFT DRINKS SOLD WORLDWIDE, THIS VICTORY REPRESENTED A SIGNIFICANT STEP TOWARDS REAL CHANGE FOR THE OCEANS.

SUBSEQUENT NOTABLE PROGRESS IN OUR CAMPAIGN INCLUDES:

- ANDINA THE LARGEST BOTTLER IN CHILE AND ONE OF THE LARGEST IN ARGENTINA AND BRAZIL HAS PLEDGED TO REACH 42.8% REFILLABLES BY 2030 (UP FROM 31%).

- COCA-COLA FEMSA THE LARGEST BOTTLER IN THE WORLD, BASED IN MEXICO HAS TOLD OCEANA IN MEETINGS THAT THEIR SHARE OF BEVERAGES SOLD IN REFILLABLE BOTTLES EXCEEDS 30% OF TOTAL SALES AND THEY HAVE INVESTED MORE THAN US \$500 MILLION IN REFILLABLE CAPACITY ACROSS THEIR MARKETS IN THE LAST TWO YEARS ALONE. OCEANA IS PUSHING THE COMPANY TO SET A SPECIFIC GOAL FOR REFILLABLE BOTTLES (FOLLOWING THE LEAD OF ANDINA).

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

ALL FISHING IN THE NEW ENGLAND (NORTHEAST) GROUND FISH FISHERY TO BE ACCOMPANIED BY PROFESSIONALLY TRAINED AT-SEA MONITORS OR ELECTRONIC SYSTEMS TO GATHER FIRST-HAND DATA ABOUT WHAT IS BEING CAUGHT AND DISCARDED AT SEA. THIS VICTORY WOULD NOT HAVE BEEN POSSIBLE WITHOUT OCEANA AND OUR ALLIES, WHO CAMPAIGNED FOR YEARS FOR GREATER OBSERVER COVERAGE LEVELS FOR THIS FISHERY, WHICH HAS SUFFERED FROM DECADES OF OVERFISHING AND EXCESSIVE UNCOUNTED BYCATCH. THIS CHANGE IS CRITICAL

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FOR THE PROPER OVERSIGHT OF THE 13 SPECIES IN THIS FISHERIES MANAGEMENT PLAN AND WILL ENSURE THAT CATCH IS COUNTED AND ACCOUNTED FOR SO THAT SCIENCE-BASED CATCH LIMITS CAN BE PROPERLY SET AND ENFORCED. SETTING APPROPRIATE CATCH LIMITS CAN INCREASE ABUNDANCE IN THIS IMPORTANT FISHERY.

STOP EXPANDED OFFSHORE DRILLING

WE DID NOT SUCCEED IN 2022 IN MAKING OUR PREVIOUS WINS IN OFFSHORE DRILLING PERMANENT THROUGH ENACTMENT INTO LAW. THE INFLATION REDUCTION ACT, WHICH WE HAD HOPED TO BE THE BILL THAT WOULD CREATE PERMANENT PROTECTIONS, ENDED UP ALLOWING DRILLING IN THE WESTERN GULF OF MEXICO AND IN COOK INLET IN ALASKA, TWO AREAS WHERE WE HAVE BEEN CAMPAIGNING TO EXTEND OUR SUCCESSES IN STOPPING OFFSHORE DRILLING ELSEWHERE IN THE U.S. WHILE THE BILL IS CONSIDERED TO BE THE MOST SIGNIFICANT CLIMATE BILL IN U.S. HISTORY, WITH INVESTMENTS IN A BROAD RANGE OF CLEAN ENERGY PROJECTS AND PROGRAMS THAT ARE ESTIMATED TO REDUCE CARBON EMISSIONS BY ROUGHLY 40 PERCENT BY 2030, IT CONTAINS BAD PROVISIONS ON OFFSHORE DRILLING.

THE SUCCESSES WE PREVIOUSLY ACHIEVED, HOWEVER, PERSIST DESPITE THE INFLATION REDUCTION ACT'S IMPACT ON OFFSHORE DRILLING. IN SEPTEMBER 2020 WE WON A 10-YEAR MORATORIUM ON DRILLING OFFSHORE THE SOUTHEAST U.S., WHICH ALSO EXTENDED AND EXPANDED THE MORATORIUM ON DRILLING IN THE EASTERN GULF OF MEXICO. ALSO, THE BIDEN ADMINISTRATION'S PROPOSED FIVE-YEAR PLAN OIL AND GAS LEASING PLAN (FOR 2023-2028) CONTAINS NO PROPOSED DRILLING ON THE ATLANTIC COAST, THE PACIFIC COAST, IN THE ARCTIC, AND IN THE EASTERN GULF OF MEXICO. DRILLING IN THESE AREAS CANNOT BE ADDED TO THE PLAN AT THIS POINT, SO WE HAVE LOCKED IN THIS

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PROTECTION DURING THE PERIOD OF THE FIVE-YEAR PLAN. OCEANA IS CONTINUING TO CAMPAIGN TO ENSURE THAT THE BIDEN ADMINISTRATION'S FINAL FIVE-YEAR OIL AND GAS LEASING PROGRAM FOR 2023-2028, WHICH WE EXPECT TO BE ISSUED IN SEPTEMBER 2023, LIMITS NEW OFFSHORE DRILLING.

REDUCE ILLEGAL FISHING

OCEANA IS CAMPAIGNING TO IMPROVE THE U.S. DOMESTIC AND INTERNATIONAL PROGRAMS AND POLICIES TO ADDRESS ILLEGAL FISHING, TRANSPARENCY AND TRACEABILITY.

IN JUNE, PRESIDENT BIDEN ISSUED A NATIONAL SECURITY MEMORANDUM TO DRIVE GOVERNMENT ACTION ON ILLEGAL, UNREPORTED, AND UNREGULATED (IUU) FISHING AND ASSOCIATED LABOR ABUSES. THE MEMO CALLS FOR DEEPER COORDINATION AND COLLABORATION OF LABOR, TRADE, SECURITY, LAW ENFORCEMENT, DIPLOMATIC AND CONSERVATION AGENCIES WITHIN THE US GOVERNMENT. THE MEMO ALSO DIRECTED NOAA TO ISSUE NEW REGULATIONS TO EXPAND THE SEAFOOD IMPORT MONITORING PROGRAM TO ADDITIONAL SPECIES IN 2022 AND TO CONTINUALLY EXPAND THE PROGRAM MOVING FORWARD.

IN OCTOBER, PRESIDENT BIDEN ISSUED THE NATIONAL SECURITY STRATEGY THAT OUTLINES HOW THE UNITED STATES WILL ADVANCE THEIR VITAL INTERESTS AND PURSUE A FREE, OPEN, PROSPEROUS, AND SECURE WORLD. ILLEGAL, UNREPORTED, AND UNREGULATED FISHING IS FEATURED UNDER THREE PRIORITIES IN THE STRATEGY: OUTCOMPETING CHINA, COMBATING TRANSNATIONAL CRIME, AND PROTECTING SEA, AIR, AND SPACE.

THE NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) HAS ALSO ISSUED A PROPOSED RULE TO EXPAND THE DEFINITION OF IUU FISHING THAT IT



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USES IN IDENTIFYING AND CERTIFYING COUNTRIES ENGAGED IN THIS TYPE OF FISHING. THE CURRENT DEFINITION HAS PREVENTED NOAA FROM TAKING STRONGER ACTION AGAINST BAD ACTORS. THE PROPOSED CHANGES WOULD ALLOW NOAA TO IDENTIFY COUNTRIES FOR FAILURE TO EXERT FLAG STATE CONTROL OVER ITS FISHING VESSEL AS OPPOSED TO THE CURRENT PROCESS WHICH REQUIRES INFORMATION ABOUT SPECIFIC VESSELS ENGAGED IN IUU FISHING, CREATING A SYSTEM MORE ANALOGOUS TO THE EU CARDING APPROACH. WE SUBMITTED COMMENTS ON THE PROPOSED RULE.

#### PROTECT HABITAT

OCEANA CONDUCTED AN EXPEDITION TO DOCUMENT CORAL AND SPONGE HABITAT NEAR KODIAK, ALASKA AND THE SHUMAGIN ISLANDS AN IMPORTANT MILESTONE IN OUR CAMPAIGN TO GET THE NATIONAL MARINE FISHERIES SERVICE TO PROTECT AT LEAST 500,000 SQUARE KILOMETERS OF GULF OF ALASKA SEAFLOOR FROM DESTRUCTIVE BOTTOM TRAWLING. IN MAY, OCEANA'S PACIFIC TEAM CIRCUMNAVIGATED KODIAK ISLAND IN THE GULF OF ALASKA, TRAVELING MORE THAN 500 NAUTICAL MILES TO EXPLORE 23 DIFFERENT OCEAN SITES TO DOCUMENT CORALS, SPONGES AND OTHER SEAFLOOR HABITAT AREAS. WE FOUND EXTRAORDINARY CORAL GARDENS IN AREAS STILL OPEN TO TRAWLING, AND AN OCEAN TEEMING WITH LIFE AT EVERY SITE. WE WILL PRESENT OUR FINDINGS TO THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL LATER THIS YEAR TO CREATE NEW PROTECTIONS FOR SEAFLOOR HABITAT IN ALASKA.

PROTECT ENDANGERED SPECIES FROM ENTANGLEMENT IN FISHING GEAR WE ACHIEVED AN IMPORTANT MILESTONE IN OUR CAMPAIGN TO PROTECT THE CRITICALLY ENDANGERED NORTH ATLANTIC RIGHT WHALE OF WHICH ONLY 330 ARE ESTIMATED TO REMAIN. IN AUGUST 2022, THE NATIONAL MARINE FISHERIES SERVICE ISSUED A PROPOSED RULE TO REDUCE THE LIKELIHOOD OF COLLISIONS

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BETWEEN RIGHT WHALES AND VESSELS ALONG THE U.S. ATLANTIC COAST. THIS PROPOSED VESSEL SPEED RULE INCLUDES MOST OF OCEANA'S OBJECTIVES FOR THE RULE. COLLISIONS WITH SHIPPING VESSELS IS ONE OF THE TWO MAIN THREATS TO THIS SPECIES, ALONG WITH ENTANGLEMENT IN FISHING GEAR.

FURTHERMORE, BECAUSE OF AN INCREASE IN WHALE SIGHTINGS IN 2022, THE CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE CLOSED THE CENTRAL AND SOUTHERN CALIFORNIA COMMERCIAL DUNGENESS CRAB FISHERY ON APRIL 8, 2022, FOLLOWED BY THE NORTHERN CALIFORNIA DUNGENESS CRAB FISHERY AS WELL AS ALL RECREATIONAL DUNGENESS CRAB FISHING ON APRIL 20, 2022. THE EARLIER-THAN- EXPECTED STATEWIDE CLOSURE FOLLOWED SEVERAL HUMPBACK WHALE ENTANGLEMENTS AND WAS IMPLEMENTED CONSISTENT WITH CALIFORNIA'S RISK ASSESSMENT MITIGATION PROGRAM THAT OCEANA HELPED TO DEVELOP. THIS MILESTONE FITS UNDER OUR LARGER CAMPAIGN TO GET THE NATIONAL MARINE FISHERIES SERVICE AND THE STATE OF CALIFORNIA TO REDUCE ENTANGLEMENTS OF ENDANGERED WHALES AND SEA TURTLES IN COMMERCIAL FISHING GEAR BY 80 PERCENT RELATIVE TO 2016 NUMBERS.

#### REDUCE SINGLE-USE PLASTICS

IN JUNE, CALIFORNIA GOVERNOR GAVIN NEWSOM SIGNED INTO LAW THE COUNTRY'S STRONGEST PLASTIC SOURCE-REDUCTION POLICY, FOLLOWING A BIPARTISAN VOTE IN THE STATE LEGISLATURE. THE OCEANA-BACKED LAW REQUIRES PRODUCERS TO CUT THEIR SINGLE-USE PLASTIC PACKAGING AND FOOD WARE BY 25 PERCENT BY 2032 AND IMPLEMENTS THE FIRST STATEWIDE REUSE AND REFILL MANDATES. IN ADDITION, IT MAKES PACKAGING AND PLASTIC FOOD WARE PRODUCERS RESPONSIBLE FOR THEIR POLLUTION AND REQUIRES PLASTIC PRODUCERS TO PAY \$5 BILLION OVER 10 YEARS IN ENVIRONMENTAL MITIGATION FUNDS. MORE THAN THREE YEARS OF CAMPAIGNING BY OCEANA AND OUR ALLIES FOR STATEWIDE

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POLICIES, INCLUDING THE BALLOT INITIATIVE, LED TO THIS VICTORY. AS THE FIFTH-LARGEST ECONOMY IN THE WORLD, CALIFORNIA HAS RAISED THE BAR FOR OTHER STATE AND NATIONAL LEVEL PLASTIC REDUCTIONS. WHILE THERE WILL CONTINUE TO BE A NEED TO REDUCE BEYOND THE 25 PERCENT MANDATED IN THIS NEW LAW, AND TO INVEST IN TOOLS SUCH AS REGENERATIVE AGRICULTURE TO HELP REDUCE THE WORST IMPACTS OF POLLUTION AND CLIMATE CHANGE, THIS LAW REPRESENTS A POWERFUL FIRST STEP THAT OTHER STATES, AND EVEN NATIONS, CAN USE TO BUILD THEIR PLASTICS REDUCTION PROGRAMS.

ALSO IN 2022, AND AFTER A YEAR-LONG CAMPAIGN LED BY OCEANA AND OUR ALLIES, THE U.S. DEPARTMENT OF THE INTERIOR ANNOUNCED IT WILL PHASE OUT SINGLE-USE PLASTIC IN ALL NATIONAL PARKS, OTHER PUBLIC LANDS, AND OFFICES MANAGED BY THE DEPARTMENT. THIS POLICY WILL REDUCE THE PROCUREMENT, SALE, AND DISTRIBUTION OF SINGLE-USE PLASTIC PACKAGING AND PRODUCTS IN ALL 423 NATIONAL PARKS, INCLUDING 88 OCEAN AND COASTAL PARKS. TO WIN THIS VICTORY, WE COORDINATED MORE THAN 300 ORGANIZATIONS AND BUSINESSES TO CALL ON U.S. INTERIOR SECRETARY DEB HAALAND TO TAKE THIS ACTION AND WORKED WITH MEMBERS OF CONGRESS TO INTRODUCE LEGISLATION TO REQUIRE SINGLE-USE PLASTIC REDUCTIONS IN NATIONAL PARKS.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

MARINE SCIENCE

EXPENSES \$ 2,408,536. INCLUDING GRANTS OF \$ 281,790. REVENUE \$ 0.

LAW

EXPENSES \$ 880,636. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART V, LINE 4B, LIST OF FOREIGN COUNTRIES:

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BELGIUM, BELIZE, CHILE, SPAIN,

UNITED KINGDOM, DENMARK, PHILIPPINES, BRAZIL,

PERU, MEXICO, SWITZERLAND

FORM 990, PART VI, SECTION A, LINE 2:

BOARD MEMBERS, SUSAN ROCKEFELLER AND DAVID ROCKEFELLER, JR., HAVE A FAMILY RELATIONSHIP.

BOARD MEMBERS HERBERT M. BEDOLFE, III AND SARA LOWELL BOTH SERVE AS EMPLOYEES AND OFFICERS OF THE MARISLA FOUNDATION. HERBERT SERVES AS THE EXECUTIVE DIRECTOR AND SARA SERVES AS THE SECRETARY/MARINE PROGRAM DIRECTOR.

FORM 990, PART VI, SECTION B, LINE 11B:

INFORMATION FOR THE FEDERAL FORM 990 COMES LARGELY FROM OCEANA'S INDEPENDENTLY AUDITED FINANCIAL STATEMENTS, WHICH CONSOLIDATES OCEANA'S ACTIVITIES ACROSS NATIONAL BOUNDARIES. THE ACCOUNTING DEPARTMENT COLLECTS THIS AND OTHER INFORMATION NEEDED FOR THE FEDERAL FORM 990, WHICH IS REVIEWED AND PRESENTED IN DRAFT FORM BY A TAX ACCOUNTING FIRM. AFTER APPROVAL BY SENIOR MANAGEMENT, THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW BEFORE IT IS SUBMITTED TO THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

EVERY YEAR, OCEANA'S OFFICERS, DIRECTORS, AND KEY EMPLOYEES FILL OUT A DISCLOSURE REPORT ASKING THEM TO DISCLOSE ANY FAMILY OR BUSINESS RELATIONSHIPS THEY MAY HAVE WITH OTHER OCEANA OFFICERS, DIRECTORS, OR KEY EMPLOYEES, AS WELL AS ANY FINANCIAL CONFLICTS OF INTEREST THEY MAY HAVE.

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IN ADDITION, OCEANA'S CONFLICT OF INTEREST POLICY REQUIRES ANY DIRECTOR OR OFFICER WHO IS AN INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER CONSIDERATION BY THE CORPORATION TO PROMPTLY DISCLOSE TO THE BOARD OF DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT.

CONFLICTS OF INTEREST REPORTING:

ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST MUST BE DISCLOSED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR SENIOR DIRECTOR OF HUMAN RESOURCES, THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE. THIS INCLUDES ACTUAL OR POTENTIAL CONFLICTS INVOLVING BUSINESS OR FINANCIAL INTEREST, FAMILY RELATIONSHIPS, OR SEXUAL/ROMANTIC RELATIONSHIPS.

THE CFO WILL DETERMINE WHETHER ANY STEPS MUST BE TAKEN TO AVOID AN APPEARANCE OR EXISTENCE OF A CONFLICT OF INTEREST OR THE CREATION OF AN ENVIRONMENT THAT OTHERS IN THE WORKPLACE MIGHT REASONABLY FIND TO BE UNPROFESSIONAL OR INAPPROPRIATE. SUCH STEPS, DEPENDING ON THE NATURE OF THE CONFLICT OF INTEREST, MIGHT INCLUDE, BUT ARE NOT LIMITED TO, DIVESTITURE OF ADVERSE INTERESTS, RECUSAL FROM CERTAIN DECISIONS, TRANSFER OF ONE OF THE EMPLOYEES TO ANOTHER DEPARTMENT (IF A POSITION IS AVAILABLE), CHANGING THE MANAGER FOR ONE OF THE EMPLOYEES, OR, WHEN OTHER OPTIONS ARE NOT FEASIBLE, THE TERMINATION OF EMPLOYMENT OF ONE OF THE EMPLOYEES.

EMPLOYEES WHO WISH TO PROVIDE SERVICES TO OR FOR THE BENEFIT OF ANY ENTITY OUTSIDE OCEANA MUST DISCLOSE SUCH PROPOSED ACTIVITY TO OCEANA, WHICH WILL MAKE APPROPRIATE DETERMINATIONS IN ACCORDANCE WITH THE ORGANIZATION'S

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GLOBAL CODE OF ETHICS.

FAMILY RELATIONSHIPS (NEPOTISM): OCEANA WILL NOT ALLOW A SUPERVISOR/SUBORDINATE RELATIONSHIP TO EXIST BETWEEN FAMILY RELATIVES.

SEXUAL/ROMANTIC RELATIONSHIPS: ROMANTIC RELATIONSHIPS BETWEEN EMPLOYEES THAT CONSTITUTE AN ACTUAL OR REASONABLY-PERCEIVED CONFLICT OF INTEREST ARE PROHIBITED.

FORM 990, PART VI, SECTION B, LINE 13

WHISTLEBLOWING AND REPORTING VIOLATIONS:

OCEANA NEEDS AND EXPECTS THE SUPPORT AND COOPERATION OF ITS EMPLOYEES TO ENFORCE ITS POLICIES. EMPLOYEES WHO HAVE EXPERIENCED, OBSERVED, OR LEARNED ABOUT CONDUCT THEY BELIEVE IS CONTRARY TO OCEANA'S POLICES OR CODE OF ETHICS MUST REPORT SUCH VIOLATIONS (OR POTENTIAL OR SUSPECTED VIOLATIONS).

OCEANA PROVIDES TWO WAYS TO REPORT VIOLATIONS.

FIRST, VIOLATIONS MAY BE REPORTED THROUGH A REPORTING SYSTEM THAT OCEANA HAS SET UP THROUGH AN ONLINE WEBSITE OR BY CALLING THE PHONE NUMBER LISTED FOR EACH COUNTRY ON THAT WEBSITE. THE SITE IS CONFIDENTIAL, EASY TO USE, AND ALWAYS AVAILABLE. EMPLOYEES HAVE THE OPTION TO DISCLOSE THEIR IDENTITY OR MAKE A REPORT ANONYMOUSLY; HOWEVER, DISCLOSING IDENTITY IS STRONGLY ENCOURAGED TO ENABLE OCEANA TO CONDUCT A THOROUGH INVESTIGATION, ESPECIALLY IN THE CASE OF A POLICY THAT PROTECTS INDIVIDUALS (FOR EXAMPLE, CONCERNING SEXUAL HARASSMENT OR DISCRIMINATION).

ANY REPORT THAT IMPLICATES THE CEO; PRESIDENT AND GENERAL COUNSEL; OR CFO

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WILL BE FORWARDED BY THE THIRD PARTY ADMINISTRATOR OF THE WEBSITE TO THE CHAIR, VICE CHAIR AND TREASURER OF OCEANA'S BOARD OF DIRECTORS.

SECOND, VIOLATIONS MAY BE REPORTED TO THE APPROPRIATE STAFF PERSON, AS FOLLOWS.

THE VIOLATION MUST BE REPORTED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR SENIOR DIRECTOR OF HUMAN RESOURCES, IF THE VIOLATION INVOLVES ONE OF THE FOLLOWING ISSUES:

- SEXUAL OR OTHER HARASSMENT
- UNLAWFUL DISCRIMINATION
- FINANCIAL MISCONDUCT OR MISREPORTING
- BRIBERY OR CORRUPTION
- RETALIATION FOR REPORTING ANY VIOLATION

IF THE VIOLATION INVOLVES ANY OTHER ISSUE, THE REPORT MUST BE MADE TO ANY OF THE FOLLOWING: CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR GLOBAL DIRECTOR OF HUMAN RESOURCES; THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE.

INVESTIGATION: WHEN AN EMPLOYEE REPORTS A VIOLATION OF THIS CODE, OCEANA WILL INVESTIGATE AND TAKE CORRECTIVE ACTION AS WARRANTED UNDER THE CIRCUMSTANCES. THE STEPS TO BE TAKEN DURING THE INVESTIGATION ARE NOT FIXED IN ADVANCE (EXCEPT AS REQUIRED BY APPLICABLE LAW), BUT INSTEAD WILL VARY DEPENDING UPON THE NATURE OF THE ALLEGATIONS. SUCH INVESTIGATION WILL REMAIN CONFIDENTIAL TO THE EXTENT CONSISTENT WITH EFFECTIVELY UNDERSTANDING

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THE FACTS AND TAKING CORRECTIVE MEASURES.

RESOLVING THE MATTER: IF OCEANA DETERMINES THAT A VIOLATION HAS OCCURRED, THE COMPANY WILL TAKE APPROPRIATE REMEDIAL ACTION TO CORRECT THE SITUATION. ANY EMPLOYEE DETERMINED BY OCEANA TO BE RESPONSIBLE FOR A VIOLATION WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION, SUBJECT TO APPLICABLE LAW, UP TO AND INCLUDING TERMINATION. IT IS A CONDITION OF EMPLOYMENT THAT EMPLOYEES COOPERATE WITH ALL OCEANA INVESTIGATIONS. IN ADDITION, OCEANA MAY CHOOSE TO TAKE ACTION EVEN IF IT CONCLUDES THAT THE ALLEGED CONDUCT NEITHER VIOLATES OCEANA'S GLOBAL CODE OF ETHICS NOR THE LAW, BUT SUCH CONDUCT WAS IMPERMISSIBLY INTERFERING WITH THE WORK ENVIRONMENT.

NO RETALIATION: IT IS A VIOLATION TO RETALIATE AGAINST AN INDIVIDUAL WHO REPORTS INCIDENTS THAT HE OR SHE BELIEVES TO BE VIOLATIONS OF OCEANA'S GLOBAL CODE OF ETHICS, OR WHO COOPERATES IN AN INVESTIGATION OF A VIOLATION. RETALIATION IS A SERIOUS VIOLATION AND SHOULD BE REPORTED IMMEDIATELY. THE REPORT AND INVESTIGATION OF ALLEGATIONS OF RETALIATION WILL FOLLOW THE PROCEDURES SET FORTH. ANY PERSON FOUND TO HAVE RETALIATED AGAINST AN INDIVIDUAL FOR REPORTING DISCRIMINATORY HARASSMENT OR PARTICIPATING IN AN INVESTIGATION OF ALLEGATIONS OF SUCH CONDUCT WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION.

FORM 990, PART VI, SECTION B, LINE 15:

OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS: ANNUALLY, OCEANA PROVIDES THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO. THE BOARD REVIEWS AND DISCUSSES THE



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COMPENSATION DATA AS WELL AS THE CEO'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HIS PROPOSED GOALS FOR THE NEXT YEAR BEFORE TAKING A DECISION ON ANY ADJUSTMENTS TO THE CEO COMPENSATION OF BENEFITS.

OCEANA PROVIDES THE FINANCE AND AUDIT COMMITTEE OF THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S OFFICERS, TOP MANAGEMENT, AND KEY EMPLOYEES ("THE EXECUTIVE TEAM", OR "EC"). THE COMMITTEE DISCUSSES EACH OF THE EC MEMBER'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HER/HIS PROPOSED GOALS FOR THE NEXT YEAR. THE COMMITTEE REVIEWS THESE DATA TO DETERMINE IF THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS.

THE BOARD OF DIRECTORS AND AUDIT AND FINANCE COMMITTEE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES OF THE RESPECTIVE BODIES.

OCEANA REGULARLY CONDUCTS COMPENSATION REVIEWS, MOST RECENTLY IN NOVEMBER 2022.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY

FORM 990, PART VI, SECTION C, LINE 19:

OCEANA POSTS ANNUAL REPORTS, ITS AUDITED FINANCIAL STATEMENTS, AND THE PUBLIC DISCLOSURE COPY OF ITS IRS FORM 990 ON ITS WEBSITE, WWW.OCEANA.ORG.

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IT ALSO SHARES RELEVANT INFORMATION WITH INDEPENDENT WATCHDOG ORGANIZATIONS SUCH AS GUIDESTAR, CHARITY NAVIGATOR AND THE BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY.

OCEANA'S ARTICLES OF INCORPORATION AND BY-LAWS ARE AVAILABLE ON OCEANA'S WEBSITE AND TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST. OCEANA'S ARTICLES OF INCORPORATION, AS WELL AS A CERTIFICATE OF GOOD STANDING, ARE ALSO INDEPENDENTLY AVAILABLE THROUGH THE DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS FOR THE DISTRICT OF COLUMBIA (WHERE OCEANA, INC. IS INCORPORATED), THOUGH THERE IS A FEE FOR THIS SERVICE.

FORM 990

THIS RETURN PRESENTS CONSOLIDATED FINANCIAL STATEMENTS FOR OCEANA, INC. AND ITS NON-U.S. AFFILIATES. OCEANA HAS OFFICES IN SPAIN, BRAZIL, DENMARK, BELGIUM, MEXICO, PHILIPPINES, BELIZE, LONDON, CHILE AND PERU FOR THE PURPOSE OF BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEAN THROUGH PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS MOBILIZATION, AND PUBLIC EDUCATION. THE OPERATIONS IN SPAIN, BELIZE, BRAZIL, MEXICO, SWITZERLAND, AND THE UNITED KINGDOM ARE INCORPORATED AS INDEPENDENT ENTITIES IN THOSE COUNTRIES UNDER LOCAL LAW. HOWEVER, THESE ENTITIES ARE DEPENDENT ON OCEANA FOR FUNDING, PARTICIPATE IN OCEANA ACTIVITIES AND DECISION-MAKING, AND CARRY OUT THE GENERAL MISSION AND INTERNATIONAL ACTIVITIES OF OCEANA.

**SCHEDULE R  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Related Organizations and Unrelated Partnerships**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
Attach to Form 990.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2022**

**Open to Public Inspection**

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**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
OCEANA ACTION, INC. - 31-1814181 1025 CONNECTICUT AVENUE, NW, #200 WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	DISTRICT OF COLUMBIA	501(C)(4)	N/A	OCEANA, INC.	X	
FUNDACION OCEANA GRAN VIA 62, 7 IZDA MADRID, SPAIN 28013	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SPAIN	N/A	N/A	OCEANA, INC.	X	
OCEANA UK 10 QUEEN ST PLACE LONDON, UNITED KINGDOM EC4R 1BE	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	UNITED KINGDOM	N/A	N/A	OCEANA, INC.	X	
OCEANA IN BELIZE P.O. BOX 731 BELMOPAN, BELIZE	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	BELIZE	N/A	N/A	OCEANA, INC.	X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule R (Form 990) 2022

SEE PART VII FOR CONTINUATIONS

**Part II** Continuation of Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization?	
						Yes	No
FRIENDS OF OCEANA RUE D'ITALIE 10 1211 GENEVA, SWITZERLAND	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SWITZERLAND	N/A	N/A	OCEANA, INC.	X	
OCEANA BRASIL SIG QUADRA 1, LOTE 985, SALA 251 CENTRO EMPRESARIAL PARQUE, BRASILIA, BRAZIL	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BRAZIL	N/A	N/A	OCEANA, INC.	X	
OCEANA MEXICO POSEIDON 39, COL. CREDITO CONSTRUCTOR CIUDAD DE MEXICO, MEXICO CP03940	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	MEXICO	N/A	N/A	OCEANA, INC.	X	

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
<b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity .....		X
<b>b</b> Gift, grant, or capital contribution to related organization(s) .....	X	
<b>c</b> Gift, grant, or capital contribution from related organization(s) .....		X
<b>d</b> Loans or loan guarantees to or for related organization(s) .....		X
<b>e</b> Loans or loan guarantees by related organization(s) .....		X
<b>f</b> Dividends from related organization(s) .....		X
<b>g</b> Sale of assets to related organization(s) .....		X
<b>h</b> Purchase of assets from related organization(s) .....		X
<b>i</b> Exchange of assets with related organization(s) .....		X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) .....		X
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) .....		X
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) .....	X	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) .....		X
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) .....	X	
<b>o</b> Sharing of paid employees with related organization(s) .....	X	
<b>p</b> Reimbursement paid to related organization(s) for expenses .....		X
<b>q</b> Reimbursement paid by related organization(s) for expenses .....	X	
<b>r</b> Other transfer of cash or property to related organization(s) .....		X
<b>s</b> Other transfer of cash or property from related organization(s) .....		X

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) OCEANA MEXICO	B	1,906,332.	COST
(2) OCEANA BRASIL	B	1,590,893.	COST
(3) FUNDACION OCEANA	B	1,558,570.	COST
(4) OCEANA IN BELIZE	B	728,273.	COST
(5) OCEANA UK	B	408,443.	COST
(6) OCEANA ACTION, INC.	Q	61,285.	COST

**Part VI Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners sec. 501(c)(3) orgs.?		(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropor- tionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

**Part VII** Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

**PART II, IDENTIFICATION OF RELATED TAX-EXEMPT ORGANIZATIONS:**

**NAME AND ADDRESS OF RELATED ORGANIZATION:**

OCEANA BRASIL

SIG QUADRA 1, LOTE 985, SALA 251

CENTRO EMPRESARIAL PARQUE, BRASILIA, BRAZIL 70610-410